

Consortium Agreement
for the
CLEVER CITIES Project
Nr. 776604

Based on
Desca-2020 Version 1.2.4, October 2017

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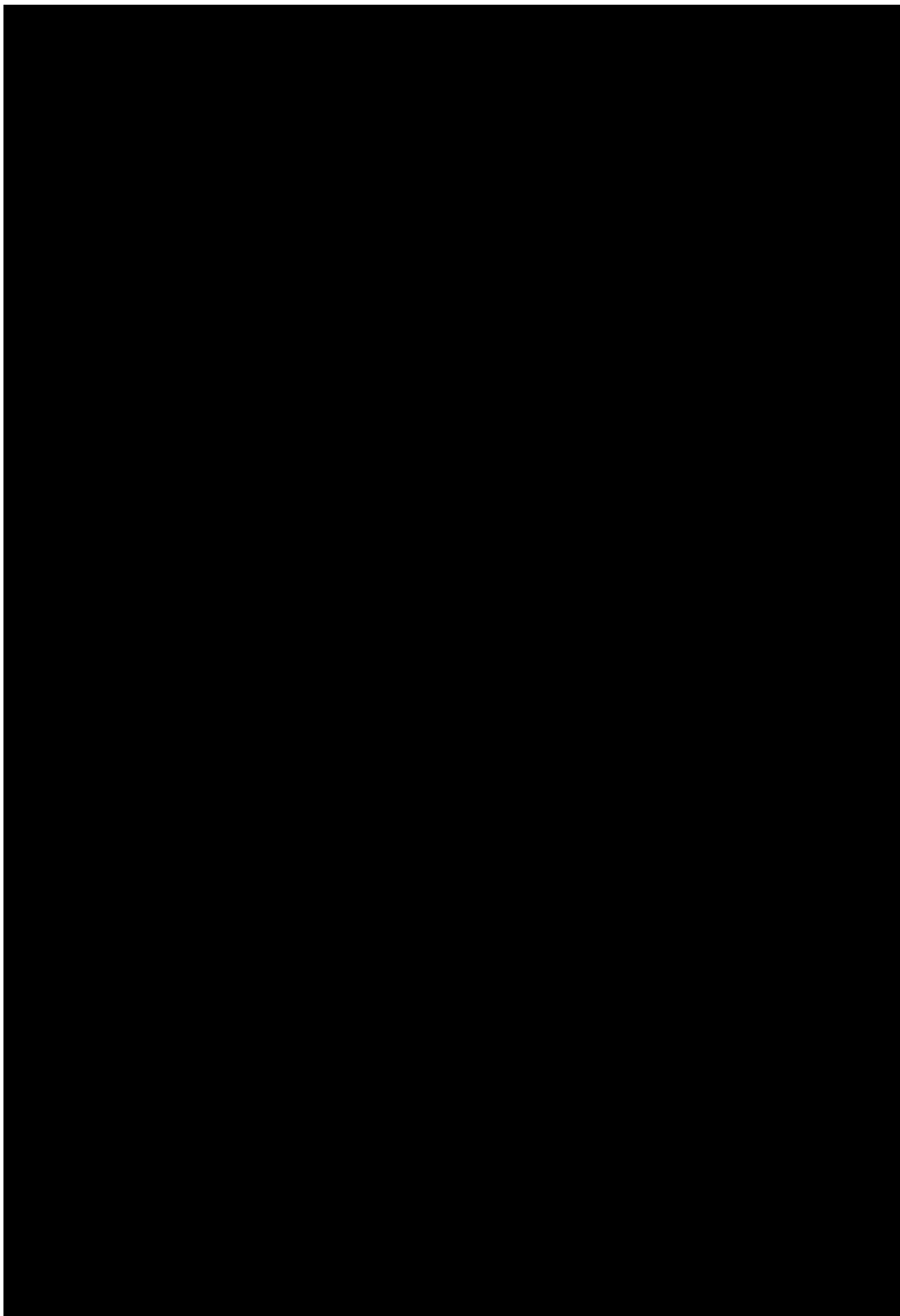
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CONSORTIUM AGREEMENT

THIS CONSORTIUM AGREEMENT is based upon REGULATION (EU) No 1290/2013 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 11 December 2013 laying down the rules for the participation and dissemination in “Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020)” (hereinafter referred to as “Rules for Participation”), and the European Commission Multi-beneficiary General Model Grant Agreement and its Annexes, and is made on 2017-09-05, hereinafter referred to as the Effective Date

BETWEEN:





hereinafter, jointly or individually, referred to as "Parties" or "Party"

relating to the Action entitled

Co-designing Locally tailored Ecological solutions for Value added, socially inclusive
Regeneration in Cities

in short

CLEVER Cities

hereinafter referred to as "Project"

WHEREAS:

The Parties, having considerable experience in the field concerned, have submitted a proposal for the Project to the Funding Authority as part of the Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020)

The Parties wish to specify or supplement binding commitments among themselves in addition to the provisions of the specific Grant Agreement to be signed by the Parties and the Funding Authority (hereinafter "Grant Agreement").

The Parties are aware that this Consortium Agreement is based upon the DESCA model consortium agreement.

NOW, THEREFORE, IT IS HEREBY AGREED AS FOLLOWS:

1 Section: Definitions

1.1 Definitions

Words beginning with a capital letter shall have the meaning defined either herein or in the Rules for Participation or in the Grant Agreement including its Annexes.

1.2 Additional Definitions

"Consortium Body":

Consortium Body means any management body described in the Governance Structure section of this Consortium Agreement.

"Funding Authority"

Funding Authority means the body awarding the grant for the Project.

"Defaulting Party"

Defaulting Party means a Party which the Project Consortium has identified to be in breach of this Consortium Agreement and/or the Grant Agreement as specified in Section 4.2 of this Consortium Agreement.

"Needed"

means:

For the implementation of the Project:

Access Rights are Needed if, without the grant of such Access Rights, carrying out the tasks assigned to the recipient Party would be technically or legally impossible, significantly delayed, or require significant additional financial or human resources.

For Exploitation of own Results:

Access Rights are Needed if, without the grant of such Access Rights, the Exploitation of own Results would be technically or legally impossible.

“Software”

Software means sequences of instructions to carry out a process in, or convertible into, a form executable by a computer and fixed in any tangible medium of expression.

2 Section: Purpose

The purpose of this Consortium Agreement is to specify with respect to the Project the relationship among the Parties, in particular concerning the organisation of the work between the Parties, the management of the Project and the rights and obligations of the Parties concerning inter alia liability, Access Rights and dispute resolution.

3 Section: Entry into force, duration and termination

3.1 Entry into force

An entity becomes a Party to this Consortium Agreement upon signature of this Consortium Agreement by a duly authorised representative.

This Consortium Agreement shall have effect from the Effective Date identified at the beginning of this Consortium Agreement.

A new entity becomes a Party to the Consortium Agreement upon signature of the accession document (Attachment 2) by the new Party and the Coordinator. Such accession shall have effect from the date identified in the accession document.

3.2 Duration and termination

This Consortium Agreement shall continue in full force and effect until complete fulfilment of all obligations undertaken by the Parties under the Grant Agreement and under this Consortium Agreement.

However, this Consortium Agreement or the participation of one or more Parties to it may be terminated in accordance with the terms of this Consortium Agreement.

If

- the Grant Agreement is not signed by the Funding Authority or a Party, or
- the Grant Agreement is terminated, or
- a Party's participation in the Grant Agreement is terminated,

this Consortium Agreement shall automatically terminate in respect of the affected Party/ies, subject to the provisions surviving the expiration or termination under Section 3.3 of this Consortium Agreement.

3.3 Survival of rights and obligations

The provisions relating to Access Rights, Dissemination and confidentiality, for the time period mentioned therein, as well as for liability, applicable law and settlement of disputes shall survive the expiration or termination of this Consortium Agreement.

Termination shall not affect any rights or obligations of a Party leaving the Consortium incurred prior to the date of termination, unless otherwise agreed between the Project Consortium and the leaving Party. This includes the obligation to provide all input, deliverables and documents for the period of its participation.

4 Section: Responsibilities of Parties

4.1 General principles

Each Party undertakes to take part in the efficient implementation of the Project, and to cooperate, perform and fulfil, promptly and on time, all of its obligations under the Grant Agreement and this Consortium Agreement as may be reasonably required from it and in a manner of good faith as prescribed by Belgian law.

Each Party undertakes to notify promptly, in accordance with the governance structure of the Project, any significant information, fact, problem or delay likely to affect the Project.

Each Party shall promptly provide all information reasonably required by a Consortium Body or by the Coordinator to carry out its tasks.

Each Party shall take reasonable measures to ensure the accuracy of any information or materials it supplies to the other Parties.

4.2 Breach

In the event that a responsible Consortium Body identifies a breach by a Party of its obligations under this Consortium Agreement or the Grant Agreement (e.g. improper implementation of the project), the Coordinator or, if the Coordinator is in breach of its obligations, the Party appointed by the Steering Group will give formal notice to such Party requiring that such breach will be remedied within 30 calendar days from the date of receipt of the written notice by the Party.

If such breach is substantial and is not remedied within that period or is not capable of remedy, the Steering Group in consultation or by written procedure with the Project Consortium may decide to declare the Party to be a Defaulting Party and to decide on the consequences thereof which may include termination of its participation.

4.3 Involvement of third parties

A Party that enters into a subcontract or otherwise involves third parties (including but not limited to Affiliated Entities) in the Project remains responsible for carrying out its relevant part of the Project and for such third party's compliance with the provisions of this Consortium Agreement and of the Grant Agreement. It has to ensure that the involvement of third parties does not affect the rights and obligations of the other Parties under this Consortium Agreement and the Grant Agreement.

5 Section: Liability towards each other

5.1 No warranties

In respect of any information or materials (incl. Results and Background) supplied by one Party to another under the Project, no warranty or representation of any kind is made, given or implied as to the sufficiency or fitness for purpose nor as to the absence of any infringement of any proprietary rights of third parties.

Therefore,

- the recipient Party shall in all cases be entirely and solely liable for the use to which it puts such information and materials, and
- no Party granting Access Rights shall be liable in case of infringement of proprietary rights of a third party resulting from any other Party (or its Affiliated Entities) exercising its Access Rights.

5.2 Limitations of contractual liability

No Party shall be responsible to any other Party for any indirect or consequential loss or similar damage such as, but not limited to, loss of profit, loss of revenue or loss of contracts, provided such damage was not caused by a wilful act or by a breach of confidentiality.

For any remaining contractual liability, a Party's aggregate liability towards the other Parties collectively shall be limited to once the Party's share of the total costs of the Project as identified in Annex 2 of the Grant Agreement provided such damage was not caused by a wilful act or gross negligence.

The terms of this Consortium Agreement shall not be construed to amend or limit any Party's statutory liability.

5.3 Damage caused to third parties

Each Party shall be solely liable for any loss, damage or injury to third parties resulting from the performance of the said Party's obligations by it or on its behalf under this Consortium Agreement or from its use of Results or Background.

5.4 Force Majeure

No Party shall be considered to be in breach of this Consortium Agreement if it is prevented from fulfilling its obligations under the Consortium Agreement by Force Majeure.

Each Party will notify the competent Consortium Bodies and the Coordinator of any Force Majeure without undue delay. If the consequences of Force Majeure for the Project are not overcome within 6 weeks after such notification, the transfer of tasks - if any - shall be decided by the competent Consortium Bodies.

6 Section: Governance structure

6.1 General structure

The organisational structure of the Consortium comprises the following Consortium Bodies:

- Project Consortium
- Project Coordinator
- Clever Cities Secretariat
- Steering Group (SG)
- Innovation Manager, Ethics and Diversity Advisor, Data Security Advisor

- Work package leaders (WP leaders)
- Annual CLEVER Assembly (ACA)
- Advisory Board (AB)

6.1.1 Project Consortium

The CLEVER Project Consortium consists of all partners including FE Cities.

The Project Consortium will meet basically annually in the CLEVER Assembly as the ultimate decision-making body of the consortium. The members are all partners and the FE-Cities. Every member has one vote in the CLEVER Assembly.

6.1.2 Project Coordinator

The Coordinator is the legal entity acting as the intermediary between the Parties and the Funding Authority. The Coordinator shall, in addition to its responsibilities as a Party, perform the tasks assigned to it as described in the Grant Agreement and this Consortium Agreement.

FHH ('Coordinator') is responsible for managing the administrative, legal and financial duties according to its contractual obligation with the European Commission (in the Grant Agreement) and all consortium partners (as per the Consortium Agreement) and ensuring the complete and timely delivery.

FHH holds extensive experience in participating in and managing European and national projects with multiple partners and large budgets. A permanently employed team (3 staff members) handles all European projects the city is involved in.

The Coordinator will be the only direct communication/ information interface between the European Commission and the project consortium, and responsible for the transfer of EC funds and the submission of technical and financial reports contractually required by the EC. FHH will implement an efficient documentation and reporting management (incl. provision of appropriate reporting/ monitoring tools) and a lean procedure for cash flow.

CLEVER Cities Secretariat

The CLEVER Cities Secretariat, which will be the communication node for the consortium for all operational questions as well as for interested public, led by a Project Manager (PM), extending the Coordinator's team upon project start.

The PM will work on the day-to-day technical management and coordination incl. partner communication, and will be responsible for communicating regular updates to all partners, and scheduling and organising the Steering Group (SG) Meetings as well as the Annual CLEVER Assemblies (ACAs).

FHH will organise the logistics (if need be with the local hosting partner) and chair the meetings, whilst ICLEI ES will lead the strategic and content development with support by the SG, i.e. relating the consortium activity orientations beyond project end with the short-term actions as managed by the Secretariat (see below) and each WP leader.

The Secretariat will prepare decisions based on input from WP leaders regarding strategic and operational direction, allocation of resources and consortium management.

The PM will compile all task reporting (incl. the provision of certificates to the financial statements where required) according to the Grant Agreement, the project's work plan and quality assurance procedures accurately and on time.

A professional project management portal will be set up and maintained for internal communication and documentation between project partners (e.g., Basecamp® or similar) and tracking of deliverables/milestones production and assess task completion.

6.1.3 Steering Group (SG)

Steering Group (SG) acts as the supervisory body for the execution of the Project which shall report and be accountable to the Project Consortium.

The SG is composed of Frontrunner Cities (FR: FHH, CDM, GLA), work package (WP) leaders, Coordinator and Project Manager, Innovation Manager (TEC), Ethics Advisor (YES), Gender and Diversity Advisor (YF) and Data Security Advisors (GLA, EAA).

The SG is the main decision-making body of the project and will guide the overall project

progress whilst the individual WP leaders will be responsible for the management of their WP at operational level with the support of the FHH a [REDACTED]. The SG approves the overall implementation and financial planning (incl. use of travel budget of partners, part. in WP2, WP3, WP6, WP7), steers the project's direction and makes decisions on all project-related matters (incl. quality and risk management, IPR and exploitation activities, incl. developing a preliminary (M12) and final exploitation agreement (M58).

Decision-making rules are:

- Each WP leader designates a representative within the SG with the authority to vote. WP co-lead will act as replacement in case of absence.
- SG decides by majority, except for of topics explicitly listed in the CA for which the unanimity rule will apply. Each SG-member has 1 vote. Should there be a tied vote, the Coordinator retains the casting vote.

The SG will have bi-monthly update calls and meet approx. once every 6 months to assess project progress (i.e. 9 times during the project) in line with the Grant Agreement. SG Meetings will - where possible – coincide with the achievement of significant milestones or deliverables. Additional physical and virtual ad hoc meetings can be convened by the Coordinator if required. The SG will participate in meetings with the Advisory Board (AB).

6.1.4 Innovation Manager, Ethics and Diversity Advisor, Data Security Advisor

4 functions will help the CLEVER Cities 'compass', and inform the Coordinator and SG imminently:

- (i) The *Innovation Manager* will support partners in using innovative approaches when implementing their activities and developing project results, champion the project's innovation culture and progress (incl. advancement of TRL levels), ensure that resources are targeted at innovative products and processes and evaluate the project's innovation capabilities. The function will be held by [REDACTED] who will be responsible to guide partners' focus on innovation across all four innovation tiers (M's). [REDACTED] will develop an Innovation Strategy and guidance for WP2-5 for considering innovation when developing NBS.
- (ii) [REDACTED] will be *Ethics Advisor* (part. with regards to co-creation processes, data collection and integration with the urban data platforms).
- (iii) [REDACTED] will be *Gender and Diversity Advisor*, which is of particular relevance within the consortium as well as for all co-creation processes taking place in the deprived districts.
- (iv) [REDACTED] share the role as *Data Security Advisors*, focusing on necessary measures to safeguard data security, particularly in relation to the urban data platforms.

6.1.5 Work package leaders (WP leaders)

Each Work package (WP) has a leader and a co-leader, which is a city in all cases except for WP5 in which [REDACTED] is local partner of Hamburg.

The responsibilities include convening WP meetings, drafting WP report(s) and ensuring smooth communication and coordination with other WPs.

In case of delays with impact other WPs or deliverables, the WP leaders have to inform the Coordinator immediately.

WP leaders will report on the progress of their WPs in the consortium's regular reports, as well as in bi-monthly conference calls, SGMs and ACAs.

6.1.6 Annual CLEVER Assembly (ACA)

All partners of the Project Consortium will meet at the Annual CLEVER Assembly (ACA) to track progress, reflect on and plan the different stages of the project's development, report on deviations from plan (if applicable), agree on corrective actions (if necessary) and commitments for the coming months.

6.1.7 CLEVER Advisory Board (AB)

CLEVER Cities will employ a high level Advisory Board (AB), whose members are innovation leaders in their respective fields.

The AB will provide guidance and critical, constructive feedback as well as – if requested – technical expertise to Coordinator and [REDACTED] and contribute to the dissemination and exploitation of project results at a strategic level. It will meet annually at the ACAs and be updated by the Secretariat on a quarterly basis.

AB views and expertise will ensure the validity of the ongoing project work as well as relevance, adequacy and outreach of results (i.e. CLEVER Solutions Basket, CLEVER Cities Guidance, enhanced NBS evidence base).

6.2 General operational procedures for all Consortium Bodies

6.2.1 Representation in meetings

Any Party which is a member of a Consortium Body (hereinafter referred to as "Member"):

- should be present or represented at any meeting;
- may appoint a substitute or a proxy to attend and vote at any meeting; and
- shall participate in a cooperative manner in the meetings.

6.2.2 Preparation and organisation of meetings

6.2.2.1 Convening meetings

The chairperson of a Consortium Body shall convene meetings of that Consortium Body.

	Ordinary meeting	Extraordinary meeting
Annual CLEVER Assembly (ACA)	At least once a year	At any time upon written request of the Steering Group or 1/3 of the Members of the CLEVER Assembly
Steering Group (SG)	At least every 6 months	At any time upon written request of any Member of the Steering Group

6.2.2.2 Notice of a meeting

The chairperson of a Consortium Body shall give notice in writing of a meeting to each Member of that Consortium Body as soon as possible and no later than the minimum number of days preceding the meeting as indicated below.

	Ordinary meeting	Extraordinary meeting
CLEVER Assembly (CA)	45 calendar days	14 calendar days
Steering Group (SG)	14 calendar days	7 calendar days

6.2.2.3 Sending the agenda

The chairperson of a Consortium Body shall prepare and send each Member of that Consortium Body a written (original) agenda no later than the minimum number of days preceding the meeting as indicated below.

CLEVER Assembly (CA)	21 calendar days, 10 calendar days for an extraordinary meeting
Steering Group (SG)	7 calendar days

6.2.2.4 Adding agenda items:

Any agenda item requiring a decision by the Members of a Consortium Body must be

identified as such on the agenda.

Any Member of a Consortium Body may add an item to the original agenda by written notification to all of the other Members of that Consortium Body up to the minimum number of days preceding the meeting as indicated below.

CLEVER Assembly (CA)	14 calendar days, 7 calendar days for an extraordinary meeting
Steering Group (SG)	2 calendar days

6.2.2.5 Other

During a meeting the Members of a Consortium Body present or represented can unanimously agree to add a new item to the original agenda

Meetings of each Consortium Body may also be held by teleconference or other telecommunication means.

Decisions will only be binding once the relevant part of the Minutes has been accepted according to Section 6.2.5.

Any decision may also be taken without a meeting if the Coordinator circulates to all Members of the Consortium Body a written document, which is then agreed by the defined majority (see Section 6.2.3) of all Members of the Consortium Body. Such document shall include a deadline for responses.

Decisions taken without a meeting shall be considered as accepted if, within the period set out in article 6.2.4.4, no Member has sent an objection in writing to the chairperson. The decisions will be binding after the chairperson sends to all Members of the Consortium Body and to the Coordinator a written notification of this acceptance.

6.2.3 Voting rules and quorum

6.2.3.1 Each Consortium Body shall not deliberate and decide validly unless two-thirds (2/3) of its Members are present or represented (quorum). If the quorum is not reached, the chairperson of the Consortium Body shall convene another ordinary meeting within 15 calendar days. If in this meeting the quorum is not reached once more, the chairperson shall convene an extraordinary meeting which shall be entitled to decide even if less than the quorum of Members are present or represented.

6.2.3.2 Each Member of a Consortium Body present or represented in the meeting shall have one vote.

6.2.3.3 A Party which the Project Consortium has declared according to Section 4.2 to be a Defaulting Party may not vote.

6.2.3.4 Decisions shall be taken by a majority of two-thirds (2/3) of the votes cast.

6.2.4 Veto rights

6.2.4.1 A Member which can show that its own work, time for performance, costs, liabilities, intellectual property rights or other legitimate interests would be severely affected by a decision of a Consortium Body may exercise a veto with respect to the corresponding decision or relevant part of the decision.

6.2.4.2 When the decision is foreseen on the original agenda, a Member may veto such a decision during the meeting only.

6.2.4.3 When a decision has been taken on a new item added to the agenda before or during the meeting, a Member may veto such decision during the meeting and within 15 calendar days after the draft minutes of the meeting are sent. A Party that is not a Member of a particular Consortium Body may veto a decision within the same number of calendar days after the draft minutes of the meeting are sent.

6.2.4.4 When a decision has been taken without a meeting a Member may veto such decision within 15 calendar days after written notification by the chairperson of the outcome of the vote.

6.2.4.5 In case of exercise of veto, the Members of the related Consortium Body shall make every effort to resolve the matter which occasioned the veto to the general satisfaction of all its Members.

6.2.4.6 A Party may neither veto decisions relating to its identification to be in breach of its obligations nor to its identification as a Defaulting Party. The Defaulting Party may not veto decisions relating to its participation and termination in the consortium or the consequences of them.

6.2.4.7 A Party requesting to leave the consortium may not veto decisions relating thereto.

6.2.5 Minutes of meetings

6.2.5.1 The chairperson of a Consortium Body shall produce written minutes of each meeting which shall be the formal record of all decisions taken. He/she shall send the draft minutes to all Members within 21 calendar days of the meeting.

6.2.5.2 The minutes shall be considered as accepted if, within 15 calendar days from sending, no Member has sent an objection in writing to the chairperson with respect to the accuracy of the draft of the minutes.

6.2.5.3 The chairperson shall send the accepted minutes to all the Members of the Consortium Body and to the Coordinator, who shall safeguard them. If requested the Coordinator shall provide authenticated duplicates to Parties.

6.3 Specific operational procedures for the Consortium Bodies

6.3.1 CLEVER Assembly

In addition to the rules described in Section 6.2, the following rules apply:

6.3.1.1 Members

The CLEVER Assembly shall consist of one representative of each Party (hereinafter CLEVER Assembly Member).

Each CLEVER Assembly Member shall be deemed to be duly authorised to deliberate, negotiate and decide on all matters listed in Section 6.3.1.2. of this Consortium Agreement. The Coordinator shall chair all meetings of the CLEVER Assembly, unless decided otherwise in a meeting of the CLEVER Assembly.

The Parties agree to abide by all decisions of the CLEVER Assembly. This does not prevent the Parties to submit a dispute to resolution in accordance with the provisions of Settlement of disputes in Section 11.8.

6.3.1.2 Decisions

The CLEVER Assembly shall be free to act on its own initiative to formulate proposals and take decisions in accordance with the procedures set out herein. In addition, all proposals made by the Steering Group shall also be considered and decided upon by the CLEVER Assembly.

The following decisions shall be taken by the Steering Group:

- Content, finances and intellectual property rights:
 - Proposals for changes to Annexes 1 and 2 of the Grant Agreement to be agreed by the Funding Authority
 - Changes to the Consortium Agreement
 - Modifications to Attachment 1 (Background Included)
 - Additions to Attachment 3 (List of Third Parties for simplified transfer according to Section 8.3.2)
 - If applicable: Additions to Attachment 4 (Identified Affiliated Entities)
- Evolution of the consortium:
 - Entry of a new Party to the consortium and approval of the settlement on the conditions of the accession of such a new Party
 - Withdrawal of a Party from the consortium and the approval of the settlement on the conditions of the withdrawal
 - Identification of a breach by a Party of its obligations under this Consortium Agreement or the Grant Agreement
 - Declaration of a Party to be a Defaulting Party
 - Remedies to be performed by a Defaulting Party
 - Termination of a Defaulting Party's participation in the consortium and measures relating thereto
 - Proposal to the Funding Authority for a change of the Coordinator
 - Proposal to the Funding Authority for suspension of all or part of the Project
 - Proposal to the Funding Authority for termination of the Project and the Consortium Agreement
- Appointments
 - On the basis of the Grant Agreement, the appointment if necessary of Steering Group Members

6.3.2 Steering Group

In addition to the rules in Section 6.2, the following rules shall apply:

6.3.2.1 Members of Steering Group

The Steering Group shall consist of the Coordinator and the Parties appointed by the CLEVER Assembly.

The Coordinator shall chair all meetings of the Steering Group, unless decided otherwise by a majority of two-thirds.

6.3.2.2 Minutes of SG meetings

Minutes of Steering Group meetings, once accepted, shall be sent by the Coordinator to the CLEVER Assembly Members for information.

6.3.2.3 Tasks Steering Group

The Steering Group shall prepare the meetings, propose decisions and prepare the agenda of the CLEVER Assembly according to Section 6.3.1.2.

The Steering Group shall seek a consensus among the Parties.

The Steering Group shall be responsible for the proper execution and implementation of the decisions of the CLEVER Assembly.

The Steering Group shall monitor the effective and efficient implementation of the Project.

In addition, the Steering Group shall collect information at least every 6 months on the progress of the Project, examine that information to assess the compliance of the Project with the Consortium Agreement and, if necessary, propose modifications of the Consortium Agreement to the CLEVER Assembly.

The Steering Group shall:

- support the Coordinator in preparing meetings with the Funding Authority and in preparing related data and deliverables
- prepare the content and timing of press releases and joint publications by the consortium or proposed by the Funding Authority in respect of the procedures of the Grant Agreement Article 29.

In the case of abolished tasks as a result of a decision of the CLEVER Assembly, the Steering Group shall advise the CLEVER Assembly on ways to rearrange tasks and budgets of the Parties concerned. Such rearrangement shall take into consideration the legitimate commitments taken prior to the decisions, which cannot be cancelled.

6.4 Coordinator

6.4.1 The Coordinator shall be the intermediary between the Parties and the Funding Authority and shall perform all tasks assigned to it as described in the Grant Agreement and in this Consortium Agreement in 6.1 -6.4.

6.4.2 In particular, the Coordinator shall be responsible for:

- monitoring compliance by the Parties with their obligations
- collecting, reviewing to verify consistency and submitting reports, other deliverables (including financial statements and related certifications) and specific requested documents to the Funding Authority
- transmitting documents and information connected with the Project to any other Parties concerned
- administering the financial contribution of the Funding Authority and fulfilling the financial tasks described in Section 7.3
- providing, upon request, the Parties with official copies or originals of documents that are in the sole possession of the Coordinator when such copies or originals are necessary for the Parties to present claims.

If one or more of the Parties is late in submission of any project deliverable, the Coordinator may nevertheless submit the other 'Parties' project deliverables and all other documents required by the Grant Agreement to the Funding Authority in time.

6.4.3 If the Coordinator fails in its coordination tasks, the CLEVER Assembly may propose to the Funding Authority to change the Coordinator.

6.4.4 The Coordinator shall not be entitled to act or to make legally binding declarations on behalf of any other Party or of the consortium, unless explicitly stated otherwise in the Grant Agreement or this Consortium Agreement.

6.4.5 The Coordinator shall not enlarge its role beyond the tasks specified in this Consortium Agreement and in the Grant Agreement.

6.5 CLEVER Advisory Board

The CLEVER Advisory Board will be appointed and steered by the Steering Group. The CLEVER Advisory Board shall assist and facilitate the decisions made by the CLEVER Assembly. The Coordinator will ensure that a non-disclosure agreement is executed between all Parties and each CLEVER Advisory Board member. Its terms shall be not less stringent than those stipulated in this Consortium Agreement, and it shall be concluded no later than 30 calendar days after their nomination or before any confidential information will be exchanged, whichever date is earlier. The Coordinator shall write the minutes of the CLEVER Advisory Board meetings and prepare the implementation of the suggestions. The CLEVER Advisory Board members shall be allowed to participate in CLEVER Assembly meetings upon invitation but have not any voting rights.

7 Section: Financial provisions

7.1 General Principles

7.1.1 Distribution of Financial Contribution

The financial contribution of the Funding Authority to the Project shall be distributed by the Coordinator according to:

- the Consortium Plan
- the approval of reports by the Funding Authority, and
- the provisions of payment in Section 7.3.

A Party shall be funded only for its tasks carried out in accordance with the Consortium Agreement.

7.1.2 Justifying Costs

In accordance with its own usual accounting and management principles and practices, each Party shall be solely responsible for justifying its costs with respect to the Project towards the Funding Authority. Neither the Coordinator nor any of the other Parties shall be in any way liable or responsible for such justification of costs towards the Funding Authority.

7.1.3 Funding Principles

A Party that spends less than its allocated share of the budget as set out in the Consortium Agreement or – in case of reimbursement via unit costs - implements less units than foreseen in the Consortium Agreement will be funded in accordance with its actual duly justified eligible costs only.

A Party that spends more than its allocated share of the budget as set out in the Consortium Agreement will be funded only in respect of duly justified eligible costs up to an amount not exceeding that share.

7.1.4 Return of excess payments; receipts

7.1.4.1 In any case of a Party having received excess payments, the Party has to return the relevant amount to the Coordinator without undue delay.

7.1.4.2 In case a Party earns any receipt that is deductible from the total funding as set out in the Consortium Agreement the deduction is only directed toward the Party earning such income. The other Parties' financial share of the budget shall not be affected by one Party's receipt. In case the relevant receipt is more than the allocated share of the Party as set out in the Consortium Agreement, the Party shall reimburse the funding reduction suffered by other Parties.

7.1.5 Financial Consequences of the termination of the participation of a Party

A Party leaving the consortium shall refund all payments it has received except the amount of contribution accepted by the Funding Authority or another contributor. Furthermore a Defaulting Party shall, within the limits specified in Section 5.2 of this Consortium Agreement, bear any reasonable and justifiable additional costs occurring to the other Parties in order to perform its and their tasks.

7.2 Budgeting

The budget set out in the Consortium Agreement shall be valued in accordance with the usual accounting and management principles and practices of the respective Parties.

7.3 Payments

7.3.1 Payments to Parties are the exclusive tasks of the Coordinator.

In particular, the Coordinator shall:

- notify the Party concerned promptly of the date and composition of the amount transferred to its bank account, giving the relevant references
- perform diligently its tasks in the proper administration of any funds and in maintaining financial accounts
- undertake to keep the Funding Authority's financial contribution to the Project separated from its normal business accounts, its own assets and property, except if the Coordinator is a Public Body or is not entitled to do so due to statutory legislation.
- With reference to Articles 21.2 and 21.3.2 of the Grant Agreement, no Party shall before the end of the Project receive more than its allocated share of the maximum grant amount from which the amounts retained by the Funding Authority for the Guarantee Fund and for the final payment have been deducted.

7.3.2 The payment schedule, which contains the transfer of pre-financing and interim payments to Parties, will be handled according to the following:

Funding of costs included in the Consortium Agreement will be paid to Parties after receipt from the Funding Authority without undue delay and in conformity with the provisions of the Grant Agreement. Costs accepted by the Funding Authority will be paid to the Party concerned.

The Coordinator is entitled to withhold any payments due to a Party identified by a responsible Consortium Body to be in breach of its obligations under this Consortium Agreement or the Grant Agreement or to a Beneficiary which has not yet signed this Consortium Agreement.

The Coordinator is entitled to recover any payments already paid to a Defaulting Party. The Coordinator is equally entitled to withhold payments to a Party when this is suggested by or agreed with the Funding Authority.

8 Section: Results

8.1 Ownership of Results

Results are owned by the Party that generates them.

8.2 Joint ownership

Joint ownership is governed by Grant Agreement Article 26.2 with the following additions: Unless otherwise agreed:

- each of the joint owners shall be entitled to use their jointly owned Results for non-commercial research activities on a royalty-free basis, and without requiring the prior consent of the other joint owner(s), and
- each of the joint owners shall be entitled to otherwise Exploit the jointly owned Results and to grant non-exclusive licenses to third parties (without any right to sub-license), if the other joint owners are given:

(a) at least 45 calendar days advance notice; and

(b) Fair and Reasonable compensation.

8.3 Transfer of Results

8.3.1 Each Party may transfer ownership of its own Results following the procedures of the Grant Agreement Article 30.

8.3.2 It may identify specific third parties it intends to transfer the ownership of its Results to in Attachment (3) to this Consortium Agreement. The other Parties hereby waive their right to prior notice and their right to object to a transfer to listed third parties according to the Grant Agreement Article 30.1.

8.3.3 The transferring Party shall, however, at the time of the transfer, inform the other Parties of such transfer and shall ensure that the rights of the other Parties will not be affected by such transfer. Any addition to Attachment (3) after signature of this Agreement requires a decision of the CLEVER Assembly.

8.3.4 The Parties recognize that in the framework of a merger or an acquisition of an important part of its assets, it may be impossible under applicable EU and national laws on mergers and acquisitions for a Party to give the full 45 calendar days prior notice for the transfer as foreseen in the Grant Agreement.

8.3.5 The obligations above apply only for as long as other Parties still have - or still may request - Access Rights to the Results.

8.4 Dissemination

8.4.1 For the avoidance of doubt, nothing in this Section 8.4 has impact on the confidentiality obligations set out in Section 10.

8.4.2 Dissemination of own Results

8.4.2.1 During the Project and for a period of 1 year after the end of the Project, the dissemination of own Results by one or several Parties including but not restricted to publications and presentations, shall be governed by the procedure of Article 29.1 of the Grant Agreement subject to the following provisions.

Prior notice of any planned publication shall be given to the other Parties at least 45 calendar days before the publication. Any objection to the planned publication shall be made in accordance with the Grant Agreement in writing to the Coordinator and to the Party or Parties proposing the dissemination within 30 calendar days after receipt of the notice. If no objection is made within the time limit stated above, the publication is permitted.

8.4.2.2 An objection is justified if

- (a) the protection of the objecting Party's Results or Background would be adversely affected
- (b) the objecting Party's legitimate interests in relation to the Results or Background would be

significantly harmed.

The objection has to include a precise request for necessary modifications.

8.4.2.3 If an objection has been raised the involved Parties shall discuss how to overcome the justified grounds for the objection on a timely basis (for example by amendment to the planned publication and/or by protecting information before publication) and the objecting Party shall not unreasonably continue the opposition if appropriate measures are taken following the discussion.

8.5 The objecting Party can request a publication delay of not more than 90 calendar days from the time it raises such an objection. After 90 calendar days the publication is permitted.

8.5.1 Dissemination of another Party's unpublished Results or Background

A Party shall not include in any dissemination activity another Party's Results or Background without obtaining the owning Party's prior written approval, unless they are already published.

8.5.2 Cooperation obligations

The Parties undertake to cooperate to allow the timely submission, examination, publication and defence of any dissertation or thesis for a degree that includes their Results or Background subject to the confidentiality and publication provisions agreed in this Consortium Agreement.

8.5.3 Use of names, logos or trademarks

Nothing in this Consortium Agreement shall be construed as conferring rights to use in advertising, publicity or otherwise the name of the Parties or any of their logos or trademarks without their prior written approval.

9 Section: Access Rights

9.1 Background included

9.1.1

In Attachment 1, the Parties have identified and agreed on the Background for the Project and have also, where relevant, informed each other that Access to specific Background is subject to legal restrictions or limits.

Anything not identified in Attachment 1 shall not be the object of Access Right obligations regarding Background.

9.1.2

Any Party may add further own Background to Attachment 1 during the Project by written notice to the other Parties. However, approval of the CLEVER Assembly is needed should a Party wish to modify or withdraw its Background in Attachment 1.

9.2 General Principles

9.2.1 Each Party shall implement its tasks in accordance with the Consortium Agreement and shall bear sole responsibility for ensuring that its acts within the Project do not knowingly infringe third party property rights.

9.2.2 Any Access Rights granted expressly exclude any rights to sublicense unless expressly stated otherwise.

9.2.3 Access Rights shall be free of any administrative transfer costs.

9.2.4 Access Rights are granted on a non-exclusive basis.

9.2.5 Results and Background shall be used only for the purposes for which Access Rights to it have been granted.

9.2.6 All requests for Access Rights shall be made in writing. The granting of Access Rights may be made conditional on the acceptance of specific conditions aimed at ensuring that these rights will be used only for the intended purpose and that appropriate confidentiality obligations are in place.

9.2.7 The requesting Party must show that the Access Rights are needed.

9.3 Access Rights for implementation

Access Rights to Results and Background needed for the performance of the own work of a Party under the Project shall be granted on a royalty-free basis, unless otherwise agreed for Background in Attachment 1.

9.4 Access Rights for Exploitation

9.4.1 Access Rights to Results

Access Rights to Results if Needed for Exploitation of a Party's own Results shall be granted on Fair and Reasonable conditions.

Access rights to Results for internal research activities shall be granted on a royalty-free basis.

9.4.2

Access Rights to Background if Needed for Exploitation of a Party's own Results, including for research on behalf of a third party, shall be granted on Fair and Reasonable conditions.

9.4.3

A request for Access Rights may be made up to twelve months after the end of the Project or, in the case of Section 9.7.2.1.2, after the termination of the requesting Party's participation in the Project.

9.5 Access Rights for Affiliated Entities

Affiliated Entities have Access Rights under the conditions of the Grant Agreement Articles 25.4 and 31.4.

Such Access Rights must be requested by the Affiliated Entity from the Party that holds the Background or Results. Alternatively, the Party granting the Access Rights may individually agree with the Party requesting the Access Rights to have the Access Rights include the right to sublicense to the latter's Affiliated Entities Access Rights to Affiliated Entities shall be granted on Fair and Reasonable conditions and upon written bilateral agreement.

Affiliated Entities which obtain Access Rights in return fulfil all confidentiality and other obligations accepted by the Parties under the Grant Agreement or this Consortium Agreement as if such Affiliated Entities were Parties.

Access Rights may be refused to Affiliated Entities if such granting is contrary to the legitimate interests of the Party which owns the Background or the Results.

Access Rights granted to any Affiliated Entity are subject to the continuation of the Access Rights of the Party to which it is affiliated, and shall automatically terminate upon termination of the Access Rights granted to such Party.

Upon cessation of the status as an Affiliated Entity, any Access Rights granted to such former Affiliated Entity shall lapse.
Further arrangements with Affiliated Entities may be negotiated in separate agreements.

9.6 Additional Access Rights

For the avoidance of doubt any grant of Access Rights not covered by the Grant Agreement or this Consortium Agreement shall be at the absolute discretion of the owning Party and subject to such terms and conditions as may be agreed between the owning and receiving Parties.

9.7 Access Rights for Parties entering or leaving the consortium

9.7.1 New Parties entering the consortium

As regards Results developed before the accession of the new Party, the new Party will be granted Access Rights on the conditions applying for Access Rights to Background.

9.7.2 Parties leaving the consortium

9.7.2.1 Access Rights granted to a leaving Party

9.7.2.1.1 *Defaulting Party*

Access Rights granted to a Defaulting Party and such Party's right to request Access Rights shall cease immediately upon receipt by the Defaulting Party of the formal notice of the decision of the CLEVER Assembly to terminate its participation in the consortium.

9.7.2.1.2 *Non-defaulting Party*

A non-defaulting Party leaving voluntarily and with the other Parties' consent shall have Access Rights to the Results developed until the date of the termination of its participation. It may request Access Rights within the period of time specified in Section 9.4.3.

9.7.2.2 Access Rights to be granted by any leaving Party

Any Party leaving the Project shall continue to grant Access Rights pursuant to the Grant Agreement and this Consortium Agreement as if it had remained a Party for the whole duration of the Project.

9.8 Specific Provisions for Access Rights to Software

For the avoidance of doubt, the general provisions for Access Rights provided for in this Section 9 are applicable also to Software.

Parties' Access Rights to Software do not include any right to receive source code or object code ported to a certain hardware platform or any right to receive respective Software documentation in any particular form or detail, but only as available from the Party granting the Access Rights.

10 Section: Non-disclosure of information

10.1

All information in whatever form or mode of communication, which is disclosed by a Party (the "Disclosing Party") to any other Party (the "Recipient") in connection with the Project during its implementation and which has been explicitly marked as "confidential" at the time of disclosure, or when disclosed orally has been identified as confidential at the time of disclosure and has been confirmed and designated in writing within 15 calendar days from oral disclosure at the latest as confidential information by the Disclosing Party, is "Confidential Information".

10.2

The Recipients hereby undertake in addition and without prejudice to any commitment on non-disclosure under the Grand Agreement, for a period of 4 years after the end of the Project:

- Not to use Confidential Information otherwise than for the purpose for which it was disclosed;
- not to disclose Confidential Information without the prior written consent by the Disclosing Party;
- to ensure that internal distribution of Confidential Information by a Recipient shall take place on a strict need-to-know basis; and
- to return to the Disclosing Party, or destroy, on request all Confidential Information that has been disclosed to the Recipients including all copies thereof and to delete all information stored in a machine readable form to the extent practically possible. The Recipients may keep a copy to the extent it is required to keep, archive or store such Confidential Information because of compliance with applicable laws and regulations or for the proof of on-going obligations provided that the Recipient comply with the confidentiality obligations herein contained with respect to such copy for as long as the copy is retained.

10.3

The recipients shall be responsible for the fulfilment of the above obligations on the part of their employees or third parties involved in the Project and shall ensure that they remain so obliged, as far as legally possible, during and after the end of the Project and/or after the termination of the contractual relationship with the employee or third party.

10.4

The above shall not apply for disclosure or use of Confidential Information, if and in so far as the Recipient can show that:

- the Confidential Information has become or becomes publicly available by means other than a breach of the Recipient's confidentiality obligations;
- the Disclosing Party subsequently informs the Recipient that the Confidential Information is no longer confidential;
- the Confidential Information is communicated to the Recipient without any obligation of confidentiality by a third party who is to the best knowledge of the Recipient in lawful possession thereof and under no obligation of confidentiality to the Disclosing Party;
- the disclosure or communication of the Confidential Information is foreseen by provisions of the Grant Agreement;
- the Confidential Information, at any time, was developed by the Recipient completely independently of any such disclosure by the Disclosing Party;
- the Confidential Information was already known to the Recipient prior to disclosure, or
- the Recipient is required to disclose the Confidential Information in order to comply with applicable laws or regulations or with a court or administrative order, subject to the provision Section 10.7 hereunder.

10.5

The Recipient shall apply the same degree of care with regard to the Confidential Information disclosed within the scope of the Project as with its own confidential and/or proprietary information, but in no case less than reasonable care

10.6

Each Party shall promptly advise the other Party in writing of any unauthorised disclosure, misappropriation or misuse of Confidential Information after it becomes aware of such unauthorised disclosure, misappropriation or misuse.

10.7

If any Party becomes aware that it will be required, or is likely to be required, to disclose Confidential Information in order to comply with applicable laws or regulations or with a court or administrative order, it shall, to the extent it is lawfully able to do so, prior to any such disclosure

- notify the Disclosing Party, and
- comply with the Disclosing Party's reasonable instructions to protect the confidentiality of the information.

11 Section: Miscellaneous

11.1 Attachments, inconsistencies and severability

This Consortium Agreement consists of this core text and

Attachment 1 (Background included)

Attachment 2 (Accession document)

Attachment 3 (List of Third Parties for simplified transfer according to Section 8.3.2)

Attachment 4 (Identified Affiliated Entities)

In case the terms of this Consortium Agreement are in conflict with the terms of the Grant Agreement, the terms of the latter shall prevail. In case of conflicts between the attachments and the core text of this Consortium Agreement, the latter shall prevail.

Should any provision of this Consortium Agreement become invalid, illegal or unenforceable, it shall not affect the validity of the remaining provisions of this Consortium Agreement. In such a case, the Parties concerned shall be entitled to request that a valid and practicable provision be negotiated that fulfils the purpose of the original provision.

11.2 No representation, partnership or agency

Except as otherwise provided in Section 6.4.4, no Party shall be entitled to act or to make legally binding declarations on behalf of any other Party or of the consortium. Nothing in this Consortium Agreement shall be deemed to constitute a joint venture, agency, partnership, interest grouping or any other kind of formal business grouping or entity between the Parties.

11.3 Notices and other communication

Any notice to be given under this Consortium Agreement shall be in writing to the addresses and recipients as listed in the most current address list kept by the Coordinator.

Formal notices:

If it is required in this Consortium Agreement (Sections 4.2, 9.7.2.1.1, and 11.4) that a formal notice, consent or approval shall be given, such notice shall be signed by an authorised representative of a Party and shall either be served personally or sent by mail with recorded delivery or telefax with receipt acknowledgement.

Other communication:

Other communication between the Parties may also be effected by other means such as e-mail with acknowledgement of receipt, which fulfils the conditions of written form.

Any change of persons or contact details shall be notified immediately by the respective Party to the Coordinator. The address list shall be accessible to all Parties.

11.4 Assignment and amendments

Except as set out in Section 8.3, no rights or obligations of the Parties arising from this Consortium Agreement may be assigned or transferred, in whole or in part, to any third party without the other Parties' prior formal approval. Amendments and modifications to the text of this Consortium Agreement not explicitly listed in Section 6.3.1.2 (LP)/ 6.3.6 (SP) require a separate written agreement to be signed between all Parties.

11.5 Mandatory national law

Nothing in this Consortium Agreement shall be deemed to require a Party to breach any mandatory statutory law under which the Party is operating.

11.6 Language

This Consortium Agreement is drawn up in English, which language shall govern all documents, notices, meetings, arbitral proceedings and processes relative thereto.

11.7 Applicable law

This Consortium Agreement shall be construed in accordance with and governed by the laws of Belgium excluding its conflict of law provisions.

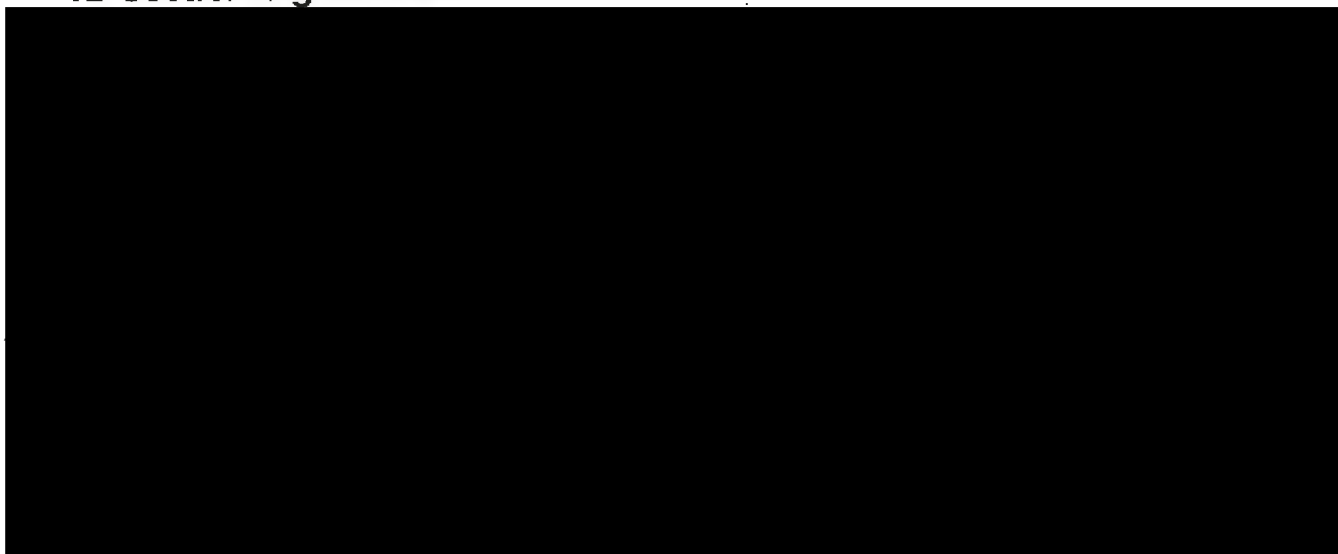
11.8 Settlement of disputes

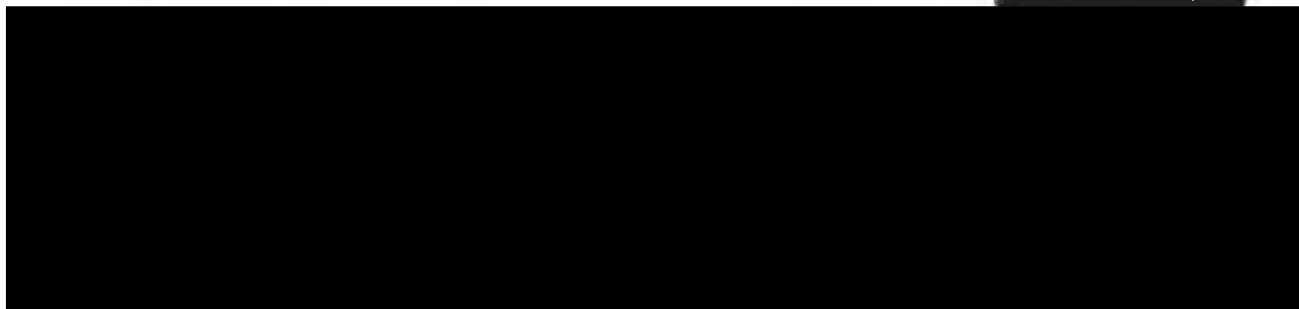
The parties shall endeavour to settle their disputes amicably.

Any dispute, controversy or claim arising under, out of or relating to this contract and any subsequent amendments of this contract, including, without limitation, its formation, validity, binding effect, interpretation, performance, breach or termination, as well as non-contractual claims, shall be submitted to mediation in accordance with the WIPO Mediation Rules. The place of mediation shall be Hamburg unless otherwise agreed upon. The language to be used in the mediation shall be English unless otherwise agreed upon.

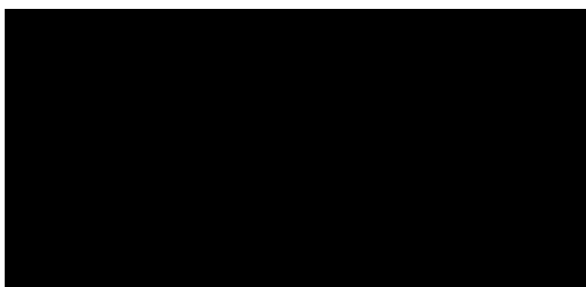
If, and to the extent that, any such dispute, controversy or claim has not been settled pursuant to the mediation within 60 calendar days of the commencement of the mediation, the courts of Hamburg shall have exclusive jurisdiction.

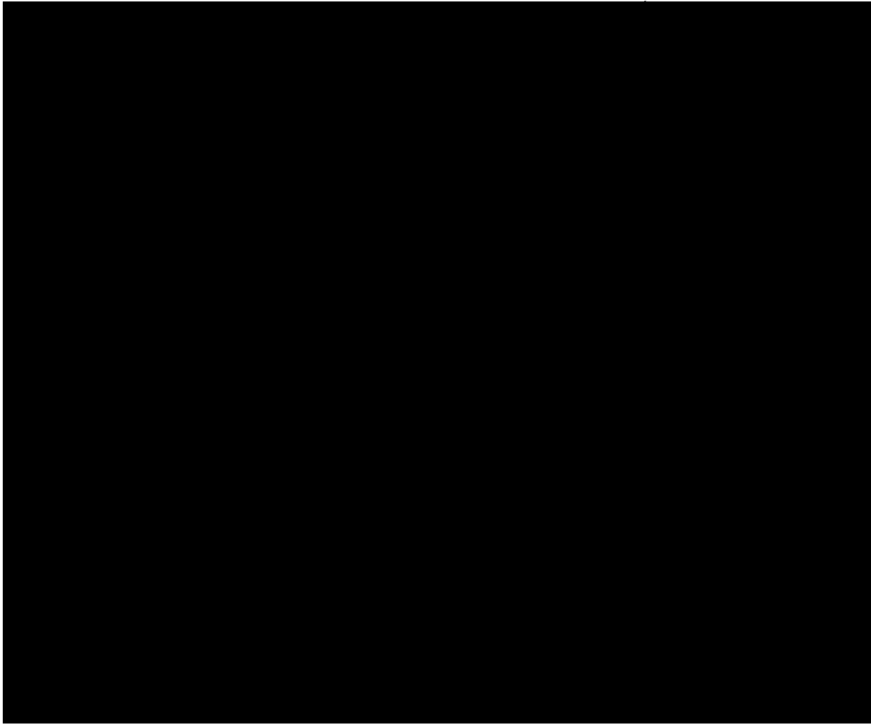
12 Section Signatures



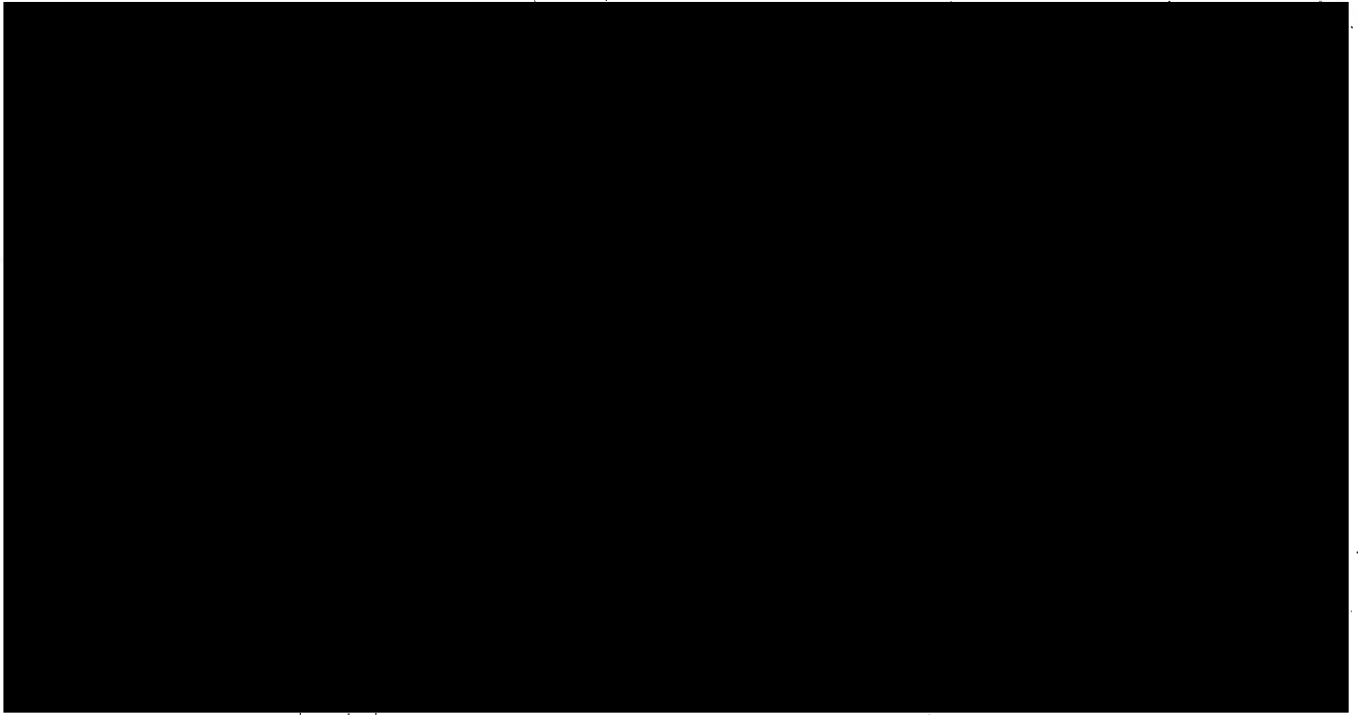


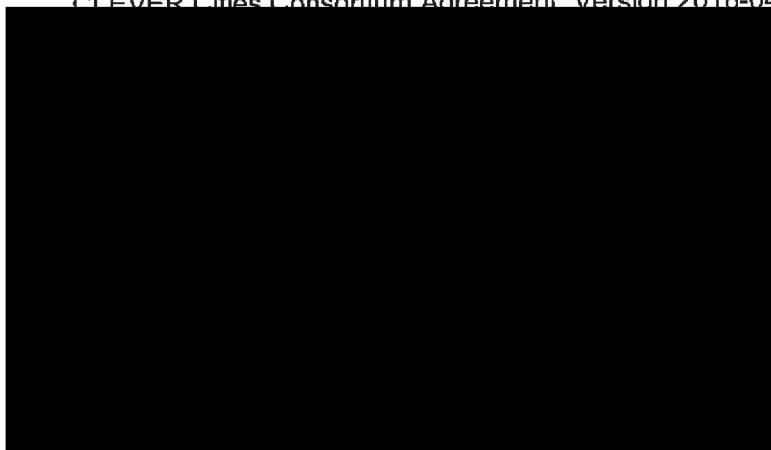


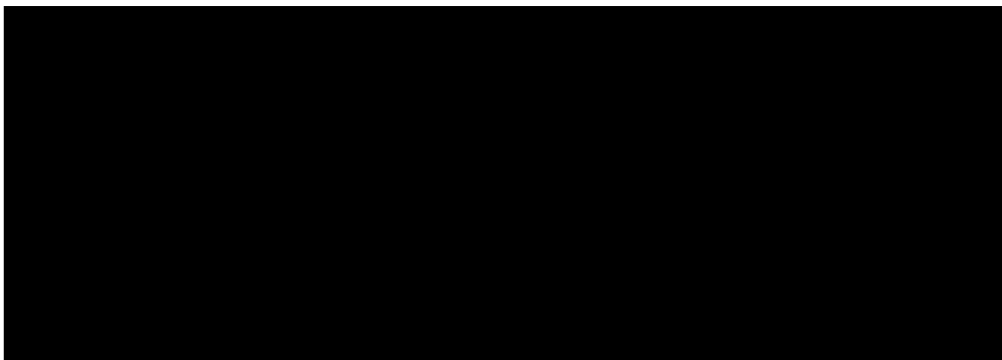




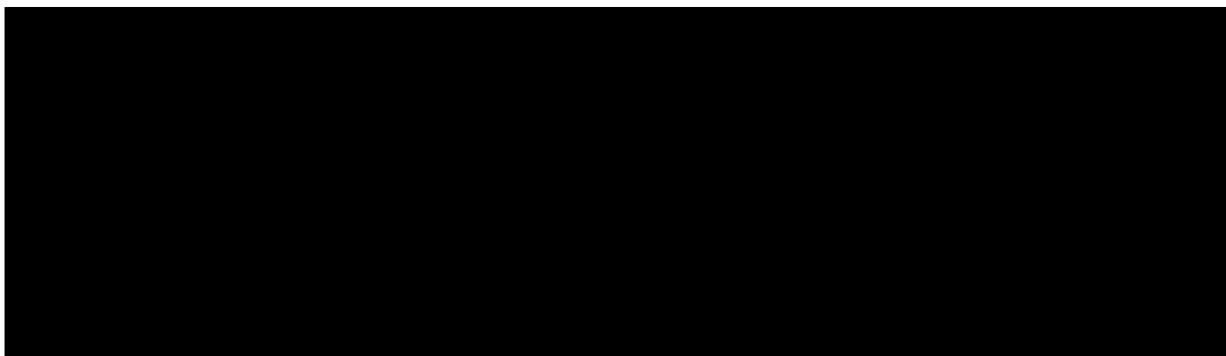
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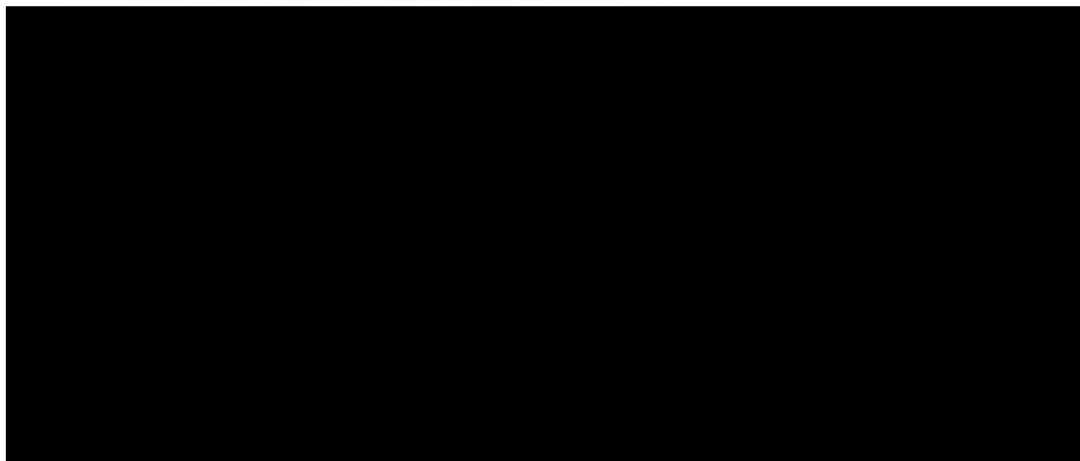


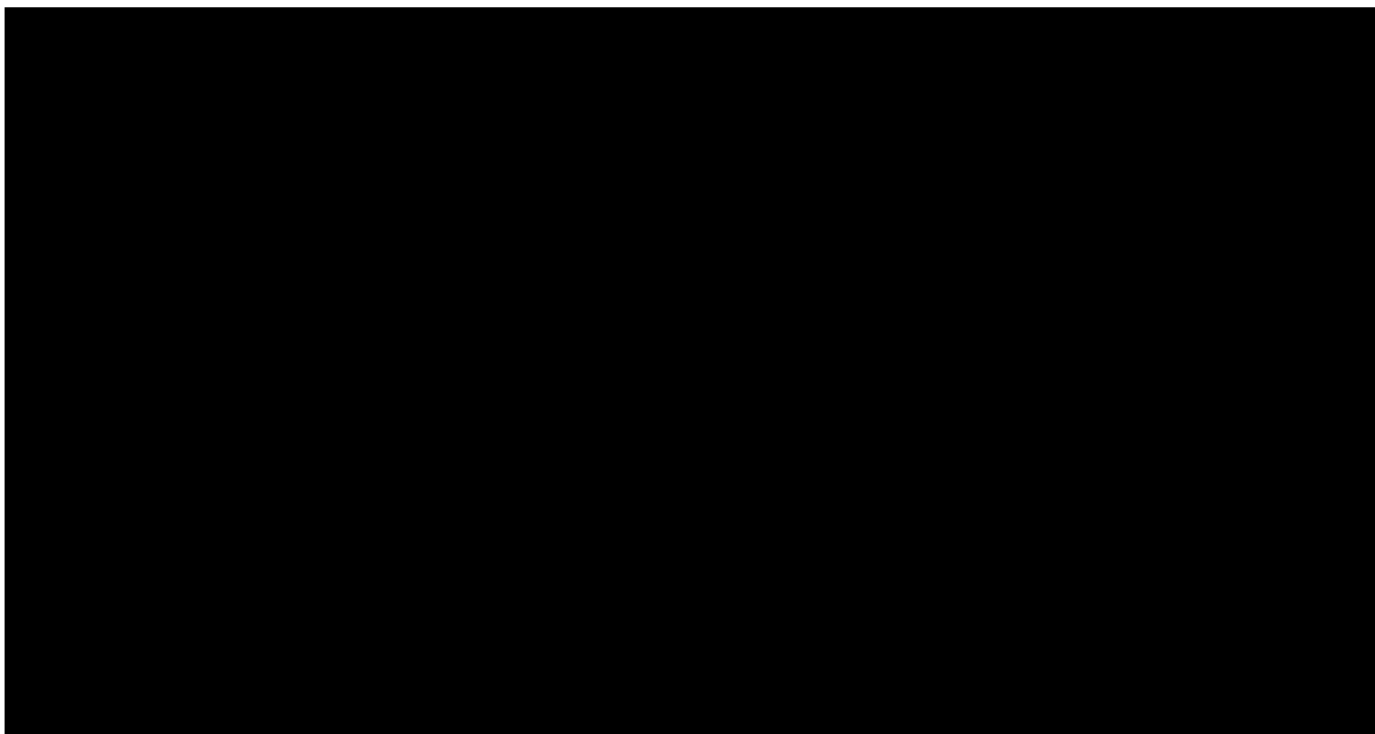


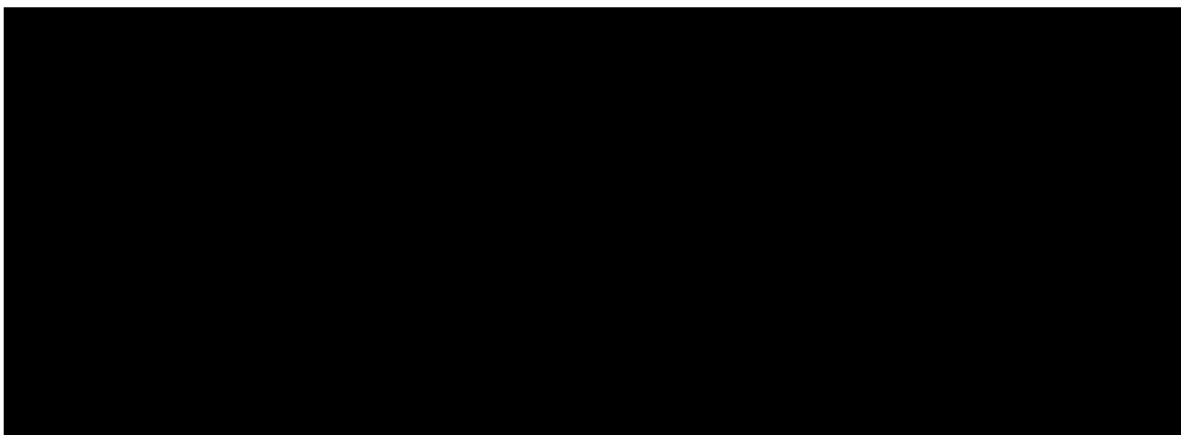


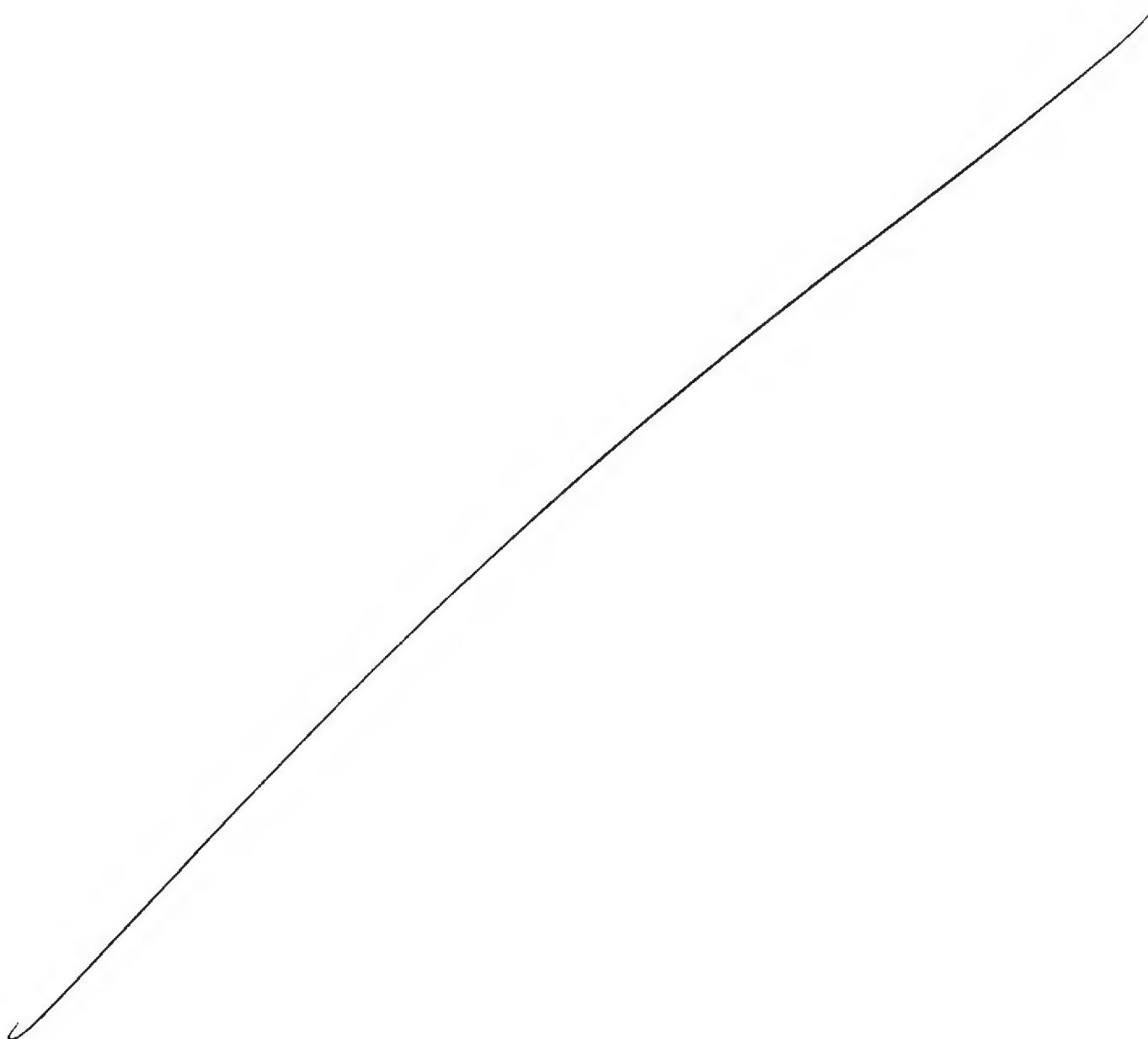
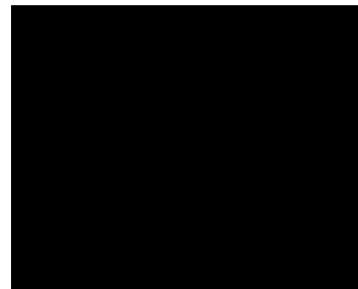
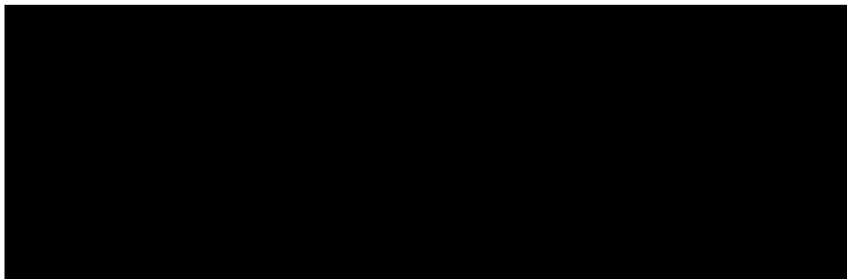


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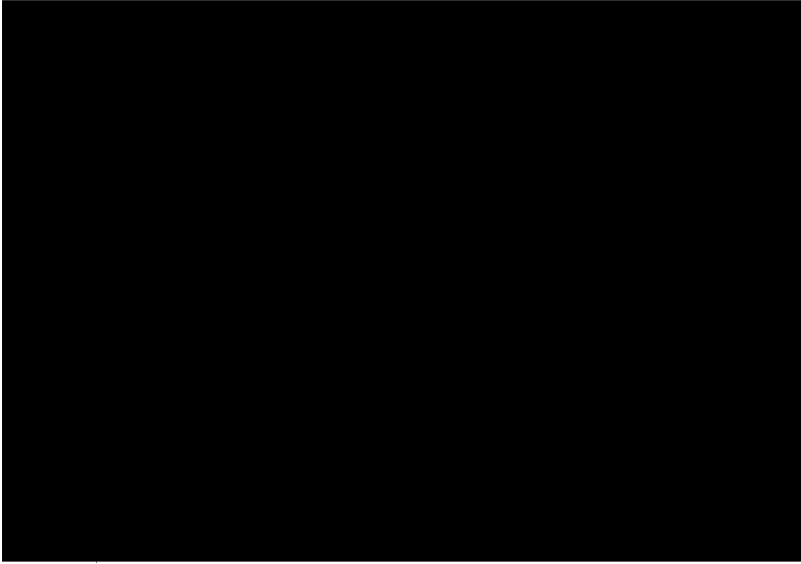


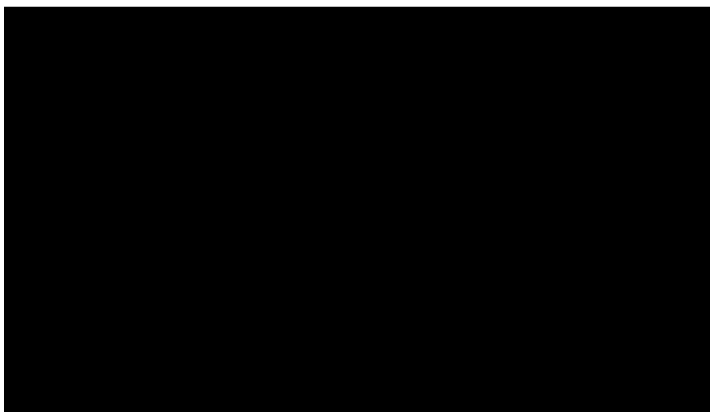


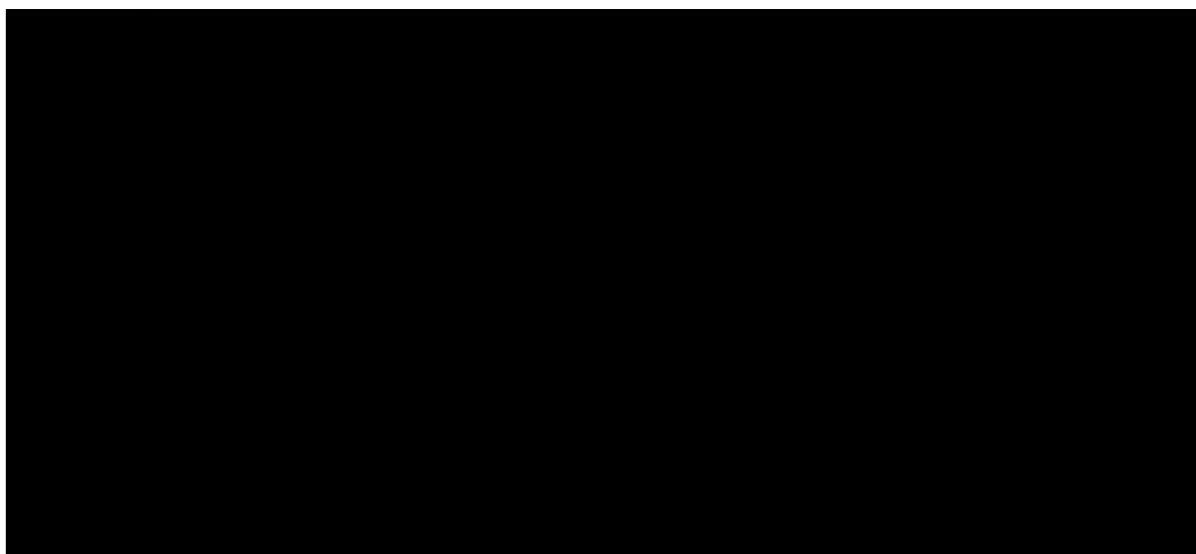


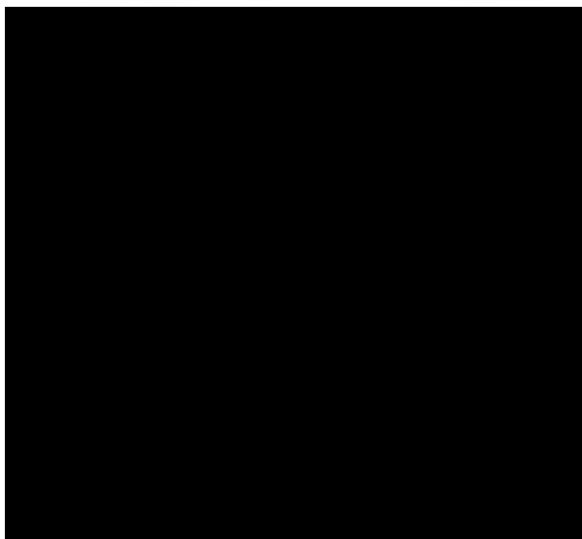


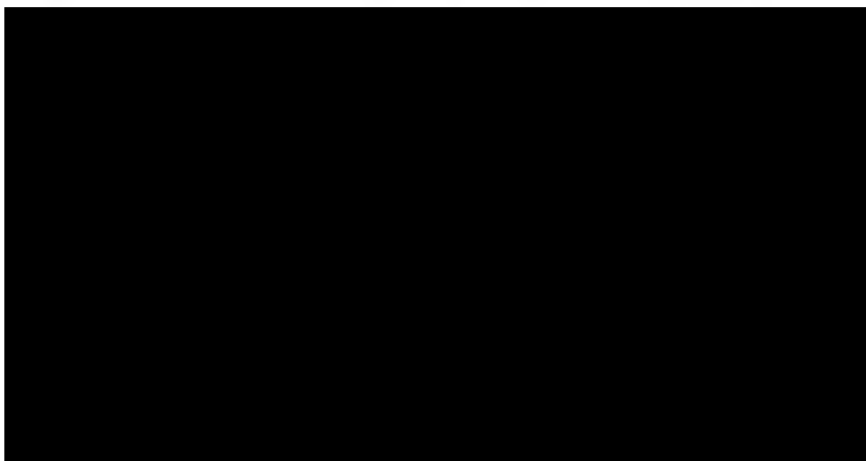


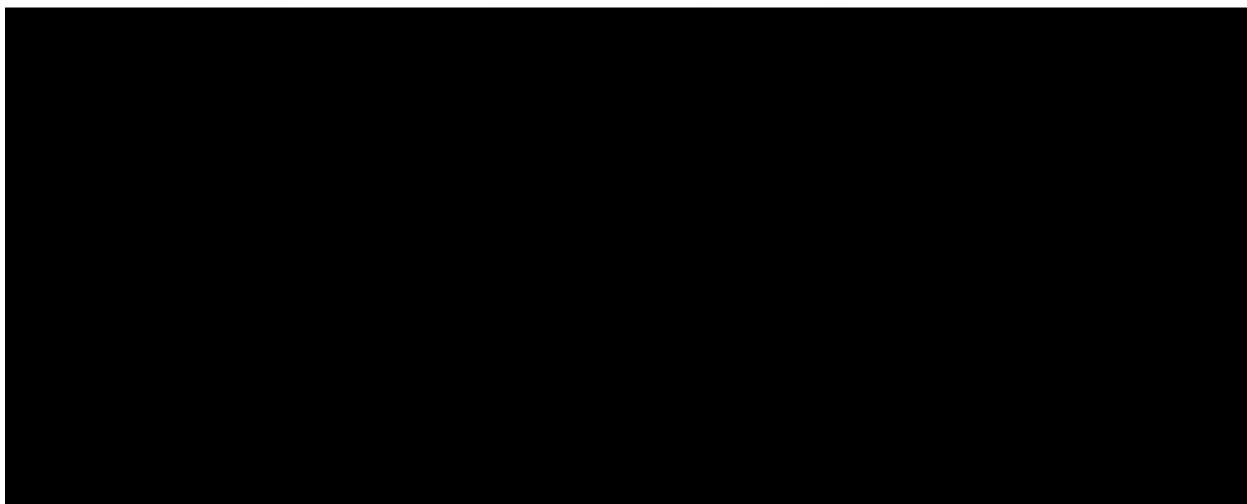




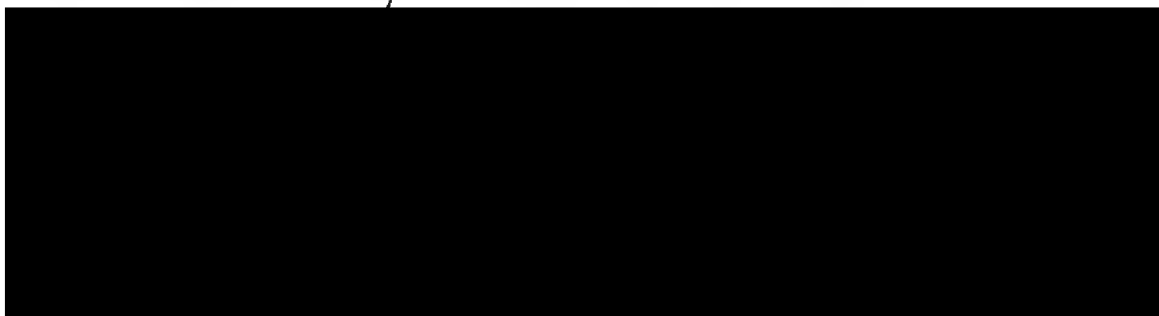


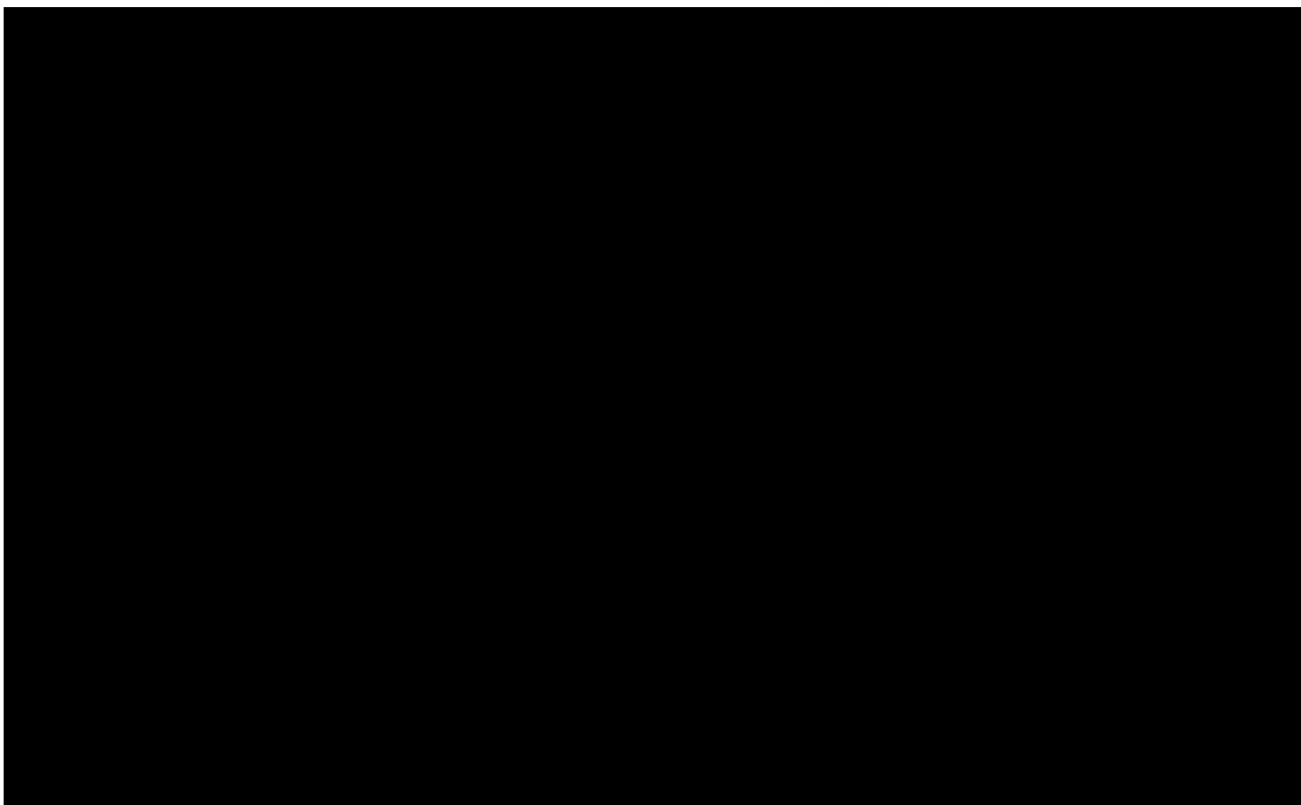


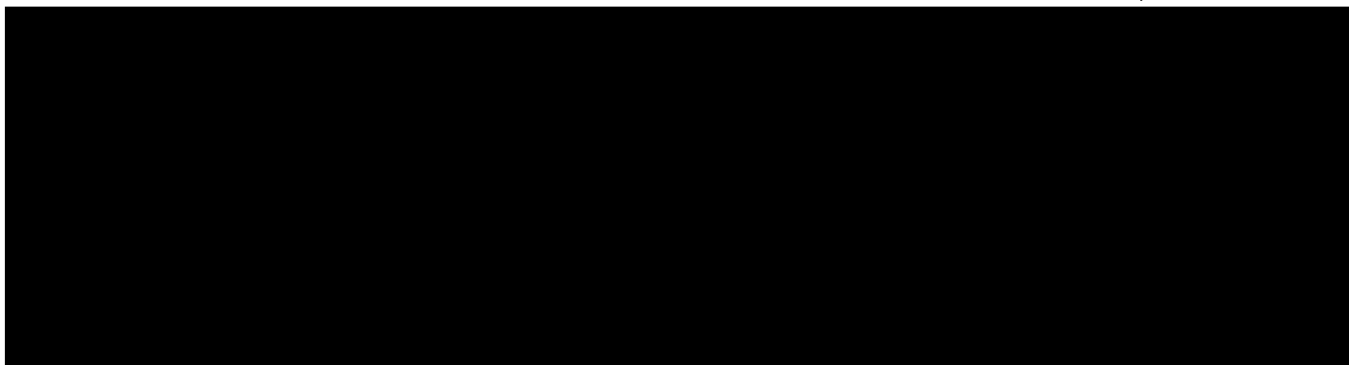


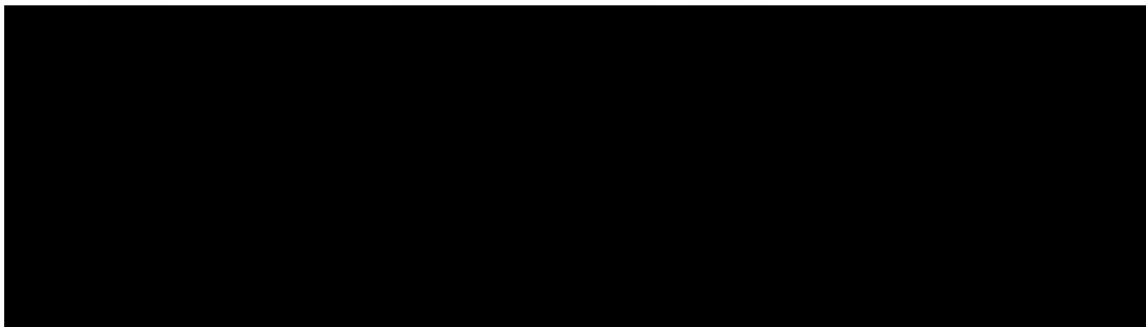


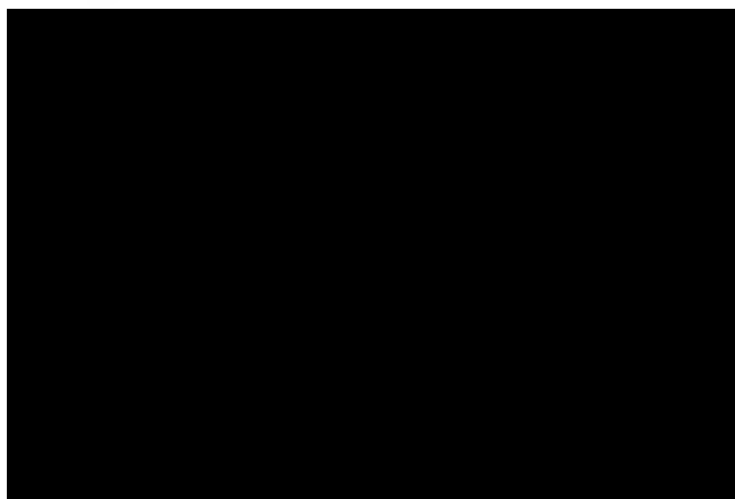


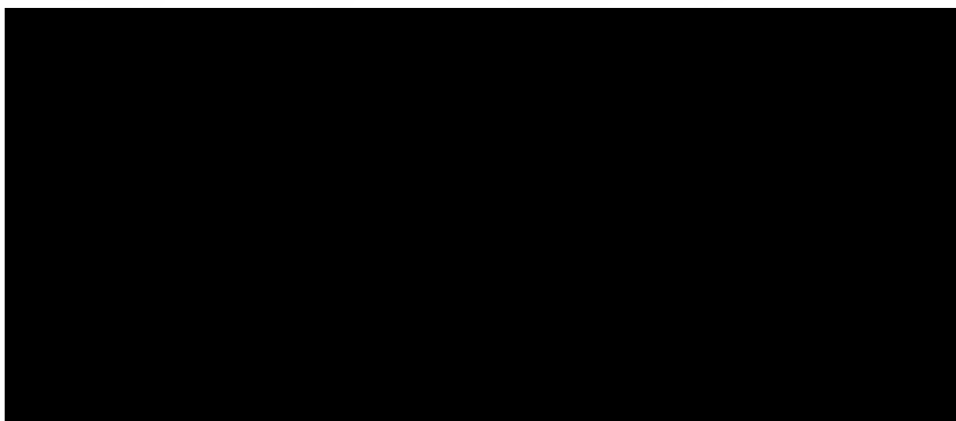


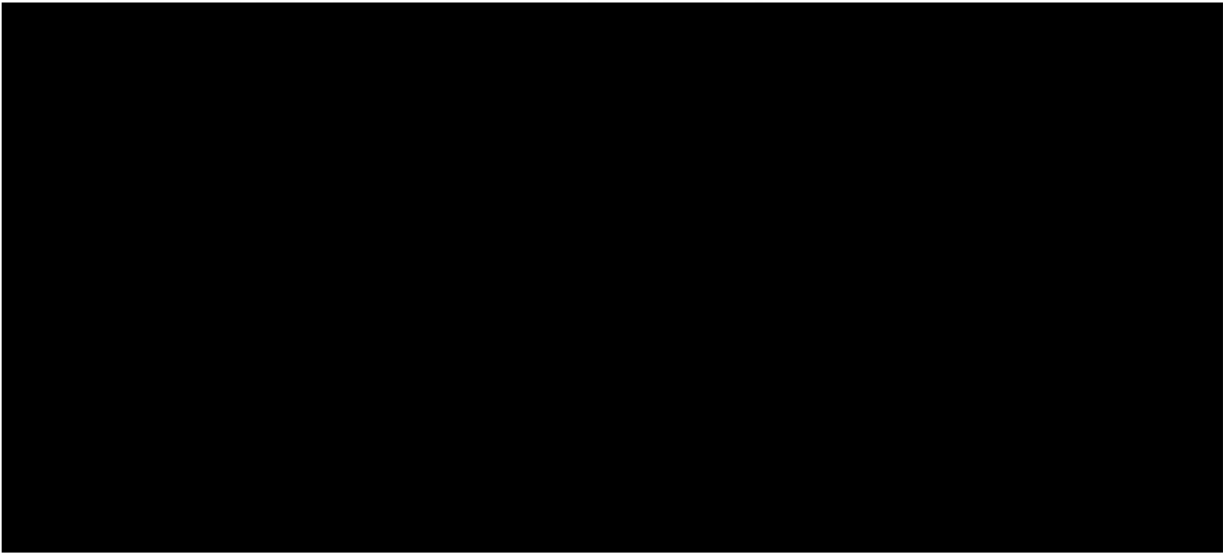




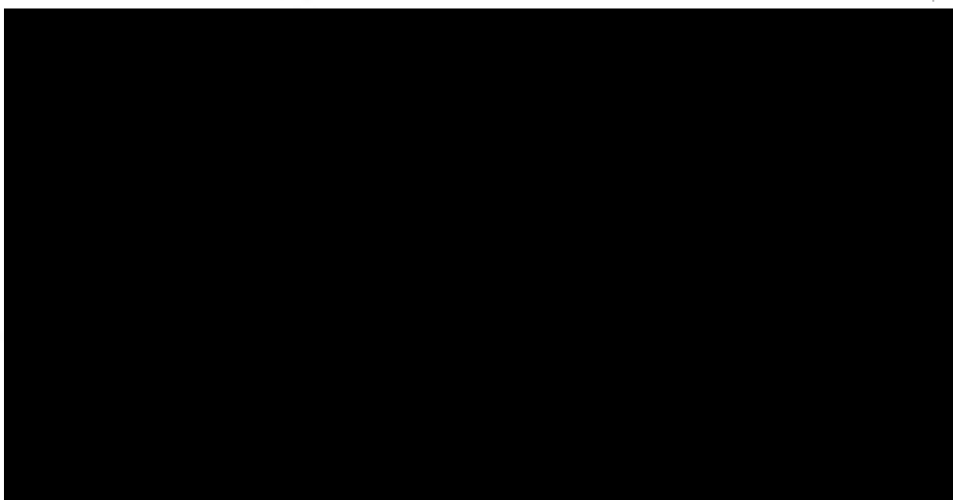


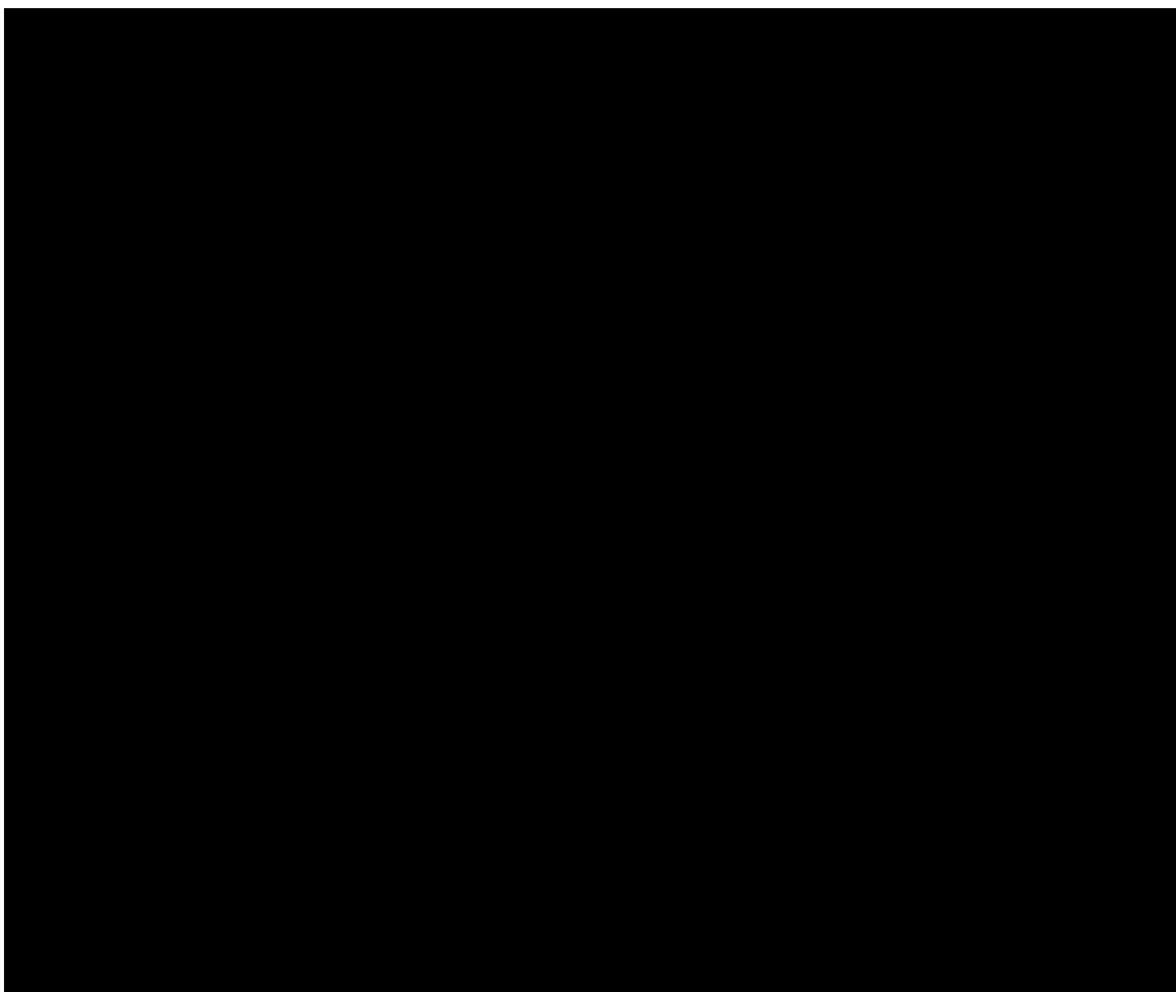


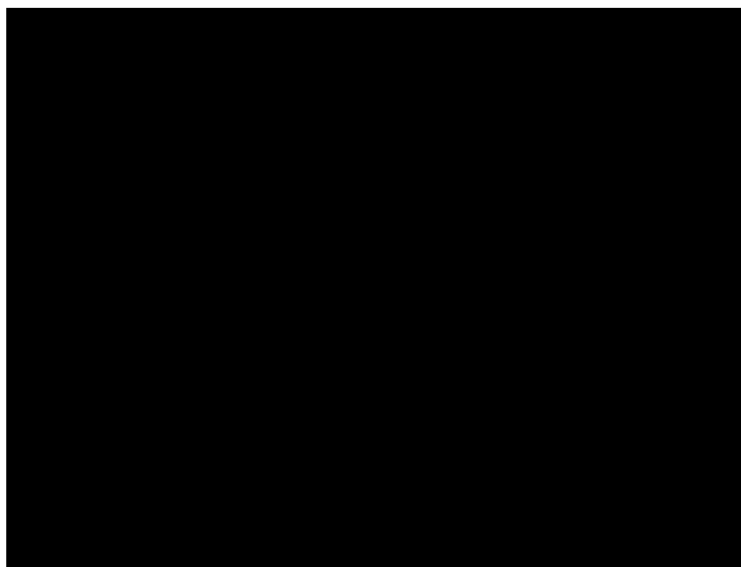












13 Attachment 1: Background included

According to the Grant Agreement (Article 24) Background is defined as “data, know-how or information (...) that is needed to implement the action or exploit the results”. Because of this need, Access Rights have to be granted in principle, but Parties must identify and agree amongst them on the Background for the project. This is the purpose of this attachment.

PARTY 1

As to **FREIE UND HANSESTADT HAMBURG (FHH)**, it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
providing open data (geo data, contracts, regulations, official statistics, public plans, results of public measurements and investigations, budgets etc.)		
production and publication of official maps and the 3D city model		
handling of real time data		
designing and handling the Urban Platform Hamburg		
designing and handling the spatial data infrastructure of Hamburg		
web applications based on standardized web services (OGC, open source, ESRI) for citizen and administrative offices, for the matter of crowd sourcing and participation		

This represents the status at the time of signature of this Consortium Agreement.

PARTY 2

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
Data, including geodata, on urban services,	For use within the CLEVER Cities project only.	[REDACTED]

infrastructure, etc. held by the [REDACTED]	[N.b. Household level data may be subject to legal restrictions to ensure privacy].	yet available on the London Datastore may require legal consent or negotiated access rights.
Official statistics, regulations, public plans, results of public projects, budgets, etc.	<i>No limitations or conditions</i>	<i>No limitations or conditions to data held on the London [REDACTED]</i>

This represents the status at the time of signature of this Consortium Agreement.

PARTY 3

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
[REDACTED] Demographic and social discomfort data, if more detailed than already published and open data. (distinction will be made at release of data).	Detailed data will be provided under confidential agreement, levels of detail and description in project deliverables must be previously verified by [REDACTED]. Specific Privacy Impact Assessment will be developed during Clever if needed	Any other use of detailed data and studies (other than open data and published data) is not allowed without [REDACTED] permission
[REDACTED] Environmental impact Assessment, studies and environmental data, other than open data and published data (distinction will be made at release of data).	Environmental assessment, studies and data (other than open data and published data) will be provided under specific confidential agreement, levels of detail and description in project deliverables must be previously verified by [REDACTED]	Any other use of environmental data and studies (other than open data and published data) is not allowed without [REDACTED] permission
[REDACTED] Personal data collected by surveys, interviews, digital media, participation activities	Restriction of use will be provided if needed on each specific set of data, or under specific Privacy Impact Assessment that will be developed during Clever if needed	Any other use is not allowed without [REDACTED] permission
[REDACTED] Information results and know-how from previous or simultaneous H2020 projects: Sharing cities and Synchronicity.	Will be provided according to limitations defined by Sharing Cities and Synchronicity agreements and EU Reg. 2016/679 General Data Protection Regulation	Any other use is not allowed without [REDACTED] permission
[REDACTED]	Will be provided according to	Any other use is not allowed

Information results and know-how from Interoperability Platform	limitations defined by the data's owners and EU Reg. 2016/679 General Data Protection Regulation.	without [REDACTED] permission
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This represents the status at the time of signature of this Consortium Agreement.

PARTY 4

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge

No data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 5

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge

No data, know-how or information of DIMOS LARISEON shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 6

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
<p>Energy and Climate Change Department will develop the [REDACTED] consortium</p> <p>This Department attempt mission of develop Climate Change Policies of Madrid City Council.</p> <p>As part of its competences the Department has released Plan A. Air Quality and Climate Change Plan of Madrid City which includes both mitigation and adaptation measures to cut GHG emissions and face</p>	No	No

<p>global warming effects in the city.</p> <p>Department is also in charge of GHG and pollutants inventories, climate follow-up and the assessment risks derived from climate impact among other issues.</p> <p>Adaptation actions now on work includes the program Madrid + Natural, an initiative aimed to encourage implementation of Nature Based Solutions to mitigate climate risks in the city.</p> <p>Planned actions to carry out under the CLEVER framework will be related with projects included in Madrid + Natural program which are already on going.</p> <p>Team department work together with other municipal officers in diverse services sharing goals and projects to make Madrid a more resilient city.</p>		
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This represents the status at the time of signature of this Consortium Agreement.

PARTY 7

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 8

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 9

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or	Specific limitations and/or
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	conditions for implementation (Article 25.2 Grant Agreement)	conditions for Exploitation (Article 25.3 Grant Agreement)
Plan Ambiental Distrital Municipio del Distrito Metropolitano de Quito	If used, always cite the document	If used, always cite the document
Estrategia de Resiliencia de Quito	If used, always cite the document	If used, always cite the document
Plan Metropolitano de Desarrollo y Ordenamiento Territorial	If used, always cite the document	If used, always cite the document
Visión de Quito 2040	If used, always cite the document	If used, always cite the document

This represents the status at the time of signature of this Consortium Agreement.

PARTY 10

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 11

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 12

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions, shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
Customer segmentation data at postcode level	Data can be used within [REDACTED] to decide and plan the best customer engagement strategies, but the actual cannot be shared / distributed outside organisation	Data can be used within [REDACTED] to decide and plan the best customer engagement strategies, but the actual cannot be shared / distributed outside organisation

This represents the status at the time of signature of this Consortium Agreement.

PARTY 13

[REDACTED]

Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 14

As to [REDACTED] is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
[REDACTED] Personal data collected by surveys, interviews, digital media, participation activities	Restriction of use will be provided if needed on each specific set of data, or under specific Privacy Impact Assessment will be developed during Clever if needed	Any other use is not allowed without [REDACTED] permission.
[REDACTED] Information, knowhow and data from "Rotaie Verdi (Green Rails)" study	Will be provided according to limitations defined by previous agreement on "Rotaie Verdi".	Any other use of "Rotaie Verdi" results is not allowed without [REDACTED] permission

This represents the status at the time of signature of this Consortium Agreement.

PARTY 15

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 16

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 17

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 18

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge (please choose) no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 19

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
[REDACTED] Infrastructure design of stations and noise barriers before NBS adaptation or redesign	Design are provided under confidential agreement until infrastructure project final approval	Any other use of this infrastructure design is not allowed without [REDACTED] and/or Italferr) permission
[REDACTED] Evolution under development of standard and requisites for noise protection and railway stations before their approval	Information about draft requisites and standards under study would be provided under confidential agreement until their final approval.	Any other use of these drafts is not allowed without [REDACTED] (and/or Italferr) permission
[REDACTED] Environmental Impact Assessment and studies and related environmental data	Environmental assessment, studies and data are provided under confidential agreement, levels of detail and description in project deliverables must be [REDACTED] previously verified by [REDACTED]	Any other use of environmental data and studies is not allowed without [REDACTED] and/or Italferr) permission

This represents the status at the time of signature of this Consortium Agreement.

PARTY 20

As to [REDACTED] it is agreed between the Parties that, to the best of their

knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 21

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 22

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
GREENPASS®	GREENPASS® is under copyright. The IPR is held by Green4cities. GREENPASS® summarizes all GREENPASS® methods and tools for climate sensitive urban development.	The use of the term GREENPASS® is limited to Green4cities or partners who have been authorized (see general terms and conditions below).
GREENPASS® database	GREENPASS® database is a multi-parametric matrix that organizes and presents climate resilience key performance indicators of GREENPASS® Urban Standard Typologies and processed urban development projects. The GREENPASS® database design allows to integrate future urban development projects.	GREENPASS® database will be available for the scientific exploitation and dissemination. Green4cities will receive in return project and/or user data resulting from the Clever.Cities platform to extend and improve the GREENPASS® database.
GREENPASS® data extraction methods and described as climate resilience key performance indicators	GREENPASS® data extraction methods have been developed to assess, compare and evaluate climate resilience of urban development projects. They are called GREENPASS® climate resilience key	GREENPASS® data extraction method will be available for the Clever.Cities platform scientific exploitation and dissemination.

	performance indicators and multi-parametric analysis method.	
GREENPASS® software	The GREENPASS® software has been developed by Green4cities to allow easy and efficient project optimization towards climate resilience. The source code is property of Green4cities and will not be provided to Clever.Cities partners or any third party. The software requires Microsoft® Windows	The GREENPASS® software will be presented on the Clever.Cities platform as one tool recommended for detailed urban development project optimization towards climate resilience. The software may not be forwarded or shared with third parties unless authorized by Green4cities in written form.
GREENPASS® USTs (Urban Standard Typologies)	<p>GREENPASS® USTs (Urban Standard Typologies) have been developed by Green4cities to allow</p> <ol style="list-style-type: none"> the standardized transformation of given urban areas or the optimization of planned urban development projects <p>Every GREENPASS® UST has been elaborated in 4 scenarios:</p> <ol style="list-style-type: none"> Worst case, Status Quo, moderate greening and maximum greening <p>The climate resilience of the different scenarios can be related to the degree of nature based solutions (GP GI typology) per UST and represent the core of the GREENPASS® database.</p>	GREENPASS® USTs (Urban Standard Typologies) will be presented and described at a general level (comparable to Local Climate Zones Typologies) on the Clever.Cities platform to allow comprehensibility understanding of the technology. The detailed multi-parametric analyse of the UST will not be made public.
GREENPASS® assessment	GREENPASS® assessment allows rapid evaluation of the climate resilience performance of urban development projects. Therefore, urban development projects (existing or planned) are analysed regarding urban typology (building heights, degree of sealed surface, relation of building volumes and footprint etc.) and amount of Nature Based Solutions implemented. The	The GREENPASS® assessment will be integrated within the Clever.Cities platform, creating a new common foreground IPR of the Clever.Cities consortium. Green4cities will grant a cost free and timely unlimited use of GREENPASS® assessment as integrated component of the Clever.Cities platform. The Clever.Cities consortium grants a cost free and timely

	<p>project is compared to the GREENPASS® database and assessed using regression formulas. The results are presented in an easy understandable way in relation to the corresponding UST scenarios.</p>	<p>unlimited use of the platform to Green4cities that can be integrated in the GREENPASS® platform. GREENPASS® will be indicated as core component of the Clever.Cities platform. Green4cities® will indicate the Clever.Cities project as joint IPR holder of the platform on the GREENPASS® web platform.</p> <p>The scope, reliability and applicability will be described on the Clever.Cities platform. The need for detailed urban development project engineering and optimization will be indicated. GREENPASS® will be indicated as detailed urban development project engineering and optimization tool as part of the Clever.Cities platform. Green4cities® will link to Clever.Cities platform on the GREENPASS® platform.</p>
General terms and conditions that apply to all above listed background IPRs		<p>All open access and/or public documents (electronical or printed) and presentations including GREENPASS® IPRs will indicate the label GREENPASS® and Green4cities as IPR holder. Green4cities will provide logos free of cost to Clever.Cities partners. If the Clever.Cities platform provides automatous reports or printouts (electronical or printed) including GREENPASS® IPRs will indicate the label GREENPASS® and Green4cities as IPR holder. Green4cities will provide logos free of cost to Clever.Cities partners. All IPRs for the exploitation of GREENPASS® stay with Green4Cities.</p>
In case of publication of project results and findings related		

	<p>to GREENPASS®, GREEN4CITIES has to agree in written form about the specific content, to be published. The publishing partners will grant at least 2 weeks for decision on agreement to Green4cities</p> <p>GREENPASS® database, data extraction methods, key performance indicators, software, UST and assessment will be made available if necessary upon written request to the partners dealing with related tasks, for the implementation of the Clever.Cities project and has to be treated confidentially. The partners commit themselves to make the related information only available to their staff members that need to have access to it in order to complete the Clever.Cities project related tasks.</p>
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PARTY 23

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
CityScope model	Data support needed for implementation	

This represents the status at the time of signature of this Consortium Agreement.

PARTY 24

As to [REDACTED] is agreed between the Parties that, to the best of their knowledge no data, know-how or information of HAMBURGISCHE WELTWIRTSCHAFTSINSTITUT GEMEINNÜTZIGE GMBH shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 25

As to [REDACTED] is agreed between the Parties that, to the best of their knowledge no data, know-how or information of TECHNISCHE UNIVERSITÄT HAMBURG-HARBURG shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 26

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall

be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 27

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
Monitoring framework for evaluation of the effectiveness of different types of NBS	[REDACTED] indicator formula to assess acoustic comfort (developed by [REDACTED] Solution: APP and related Dashboard.	[REDACTED] indicator formula to assess acoustic comfort (developed by [REDACTED] Dashboard.
Know-how in the use of modelling tools and scenarios for evaluation of Heat Stress: i.e. Urbclim modelling tool, ENVI-met Model:	[REDACTED] licences for accessing and using the mentioned modelling tools will not be made available to other CLEVER parties	
Know-how in the use of modelling tools and scenarios for evaluation of Heat Stress: i.e. Urbclim modelling tool, ENVI-met Model:	[REDACTED] licences for accessing and using modelling tools for noise management will not be made available to other CLEVER parties.	

This represents the status at the time of signature of this Consortium Agreement.

PARTY 28

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 29

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 30

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 31

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
CiBiX, CiBiX Ideator, CiBiX the City-Business Collaboration Accelerator, City- Business Accelerator Workshops.	All references to the methodology CiBiX and its derivated products such as CiBiX Ideator shall include the prefix [REDACTED] E.g. [REDACTED] CiBiX	All references to the methodology CiBiX and its derivated products such as CiBiX Ideator shall include the prefix [REDACTED] E.g. [REDACTED] CiBiX Any use of the [REDACTED] CiBiX Background which does not fall under the scope of this project shall be priorly authorised by [REDACTED]

This represents the status at the time of signature of this Consortium Agreement.

PARTY 32

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge the following background is hereby identified and agreed upon for the Project. Specific limitations and/or conditions shall be as mentioned hereunder:

Describe Background	Specific limitations and/or conditions for implementation (Article 25.2 Grant Agreement)	Specific limitations and/or conditions for Exploitation (Article 25.3 Grant Agreement)
[REDACTED] Personal data collected by surveys, interviews, digital media, participation activities	Restriction of use will be provided if needed on each specific set of data.	Any other use is not allowed [REDACTED]

This represents the status at the time of signature of this Consortium Agreement.

PARTY 33

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

This represents the status at the time of signature of this Consortium Agreement.

PARTY 34

As to [REDACTED] it is agreed between the Parties that, to the best of their knowledge no data, know-how or information of [REDACTED] shall be Needed by another Party for implementation of the Project (Article 25.2 Grant Agreement) or Exploitation of that other Party's Results (Article 25.3 Grant Agreement).

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14 Attachment 2: Accession document

ACCESSION

of a new Party to

[Acronym of the Project] Consortium Agreement, version [..., YYYY-MM-DD]

[OFFICIAL NAME OF THE NEW PARTY AS IDENTIFIED IN THE Grant Agreement]

hereby consents to become a Party to the Consortium Agreement identified above and accepts all the rights and obligations of a Party starting [date].

[OFFICIAL NAME OF THE COORDINATOR AS IDENTIFIED IN THE Grant Agreement]

hereby certifies that the consortium has accepted in the meeting held on [date] the accession of [the name of the new Party] to the consortium starting [date].

This Accession document has been done in 2 originals to be duly signed by the undersigned authorised representatives.

[Date and Place]

[INSERT NAME OF THE NEW PARTY]

Signature(s)

Name(s)

Title(s)

[Date and Place]

[INSERT NAME OF THE COORDINATOR]

Signature(s)

Name(s)

Title(s)

**15 [Attachment 3: List of Third Parties for simplified transfer
according to Section 8.3.2.]**



16 [Option: Attachment 4: Identified Affiliated Entities according to Section 9.5]

For the execution of WP6 [REDACTED] require access to Background and Results.